

Chapter 5- Message authentication codes and hash functions

Message authentication is a procedure to verify that received messages come from the *alleged* source (authentication) and have *not been altered* (*integrity*).



- Message authentication may also verify *sequencing* and *timeliness*.
- A **digital signature** is an authentication technique that also includes measures to counter *repudiation* by the source.

MESSAGE AUTHENTICATION METHODS

All message authentication methods must produce an *authenticator*: a value to be used to authenticate a message.

There are three methods to perform authentication.

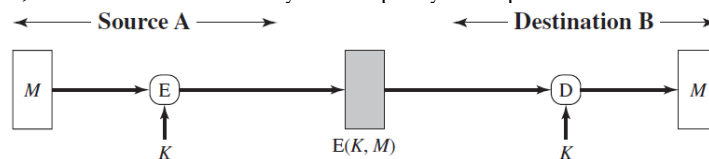
- 1- **Hash function:** A function that maps a message of any length into a fixed length hash value, which serves as the authenticator
- 2- **Message encryption:** The ciphertext of the entire message serves as its authenticator
- 3- **Message authentication code (MAC):** A function of the message and a secret key that produces a fixed-length value that serves as the authenticator

Message Encryption

Symmetric encryption

Consider the straightforward use of symmetric encryption. A message transmitted from source A to destination B is encrypted using K a secret key shared by A and B.

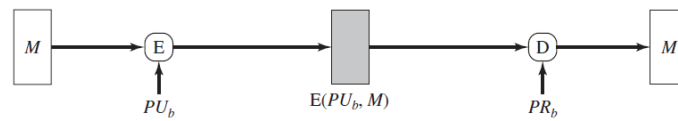
- B is assured that the message was generated by A. Why? The message must have come from A, because A is the only other party that possesses K .



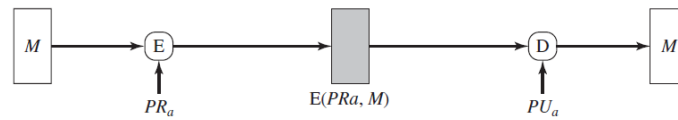
(a) Symmetric encryption: confidentiality and authentication

Public key encryption

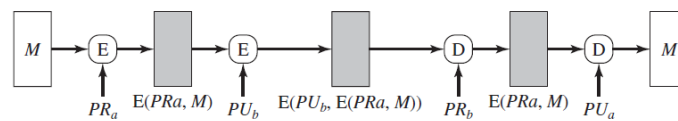
Public key encryption provides *confidentiality*, *authentication (digital signature)* and *combination* of them.



(b) Public-key encryption: confidentiality



(c) Public-key encryption: authentication and signature



(d) Public-key encryption: confidentiality, authentication, and signature

Message Authentication Code

This method use of a *secret key* to generate a small *fixed-size* block of data, known as a **cryptographic checksum** or **MAC**, that is appended to the message.

$$MAC = MAC(K, M)$$

where

- M = input message
- C = MAC function
- K = shared secret key
- MAC = message authentication code

- Receiver performs same computation on message and checks it matches the MAC.
- A MAC function is similar to encryption but it need not to be reversible.
- In general, the MAC function is a *many-to-one* function.
 - The domain of the function consists of messages of some arbitrary length, whereas the range consists of all possible MACs and all possible keys.
 - If an n -bit MAC is used, then there are 2^n possible MACs
 - potentially many messages have same MAC,
 - make sure finding **collisions** is very difficult
- MAC can be used as follows:

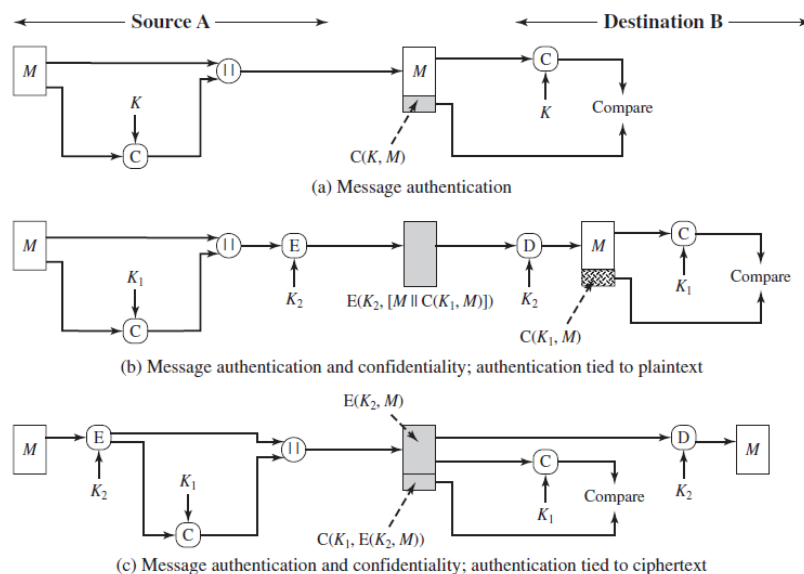


Figure 12.4 Basic Uses of Message Authentication code (MAC)

REQUIREMENTS FOR MESSAGE AUTHENTICATION CODES

1. If an opponent observes M and $MAC(K, M)$, it should be computationally infeasible for the opponent to construct a message M' such that

$$MAC(K, M') = MAC(K, M)$$
2. $MAC(K, M)$ should be uniformly distributed in the sense that for randomly chosen messages, M and M' , the probability that $MAC(K, M) = MAC(K, M')$ is 2^{-n} , where n is the number of bits in the tag.
3. Let M' be equal to some known transformation on M . That is, $M' = f(M)$. For example, f may involve inverting one or more specific bits. In that case,

$$\Pr [MAC(K, M) = MAC(K, M')] = 2^{-n}$$

SECURITY OF MACS

- MAC algorithm must have the following security property: *knowing a message and MAC, it is infeasible to find another message with same MAC.*

Computation resistance: Given one or more text-MAC pairs $[x_i, MAC(K, x_i)]$, it is computationally infeasible to compute any text-MAC pair $[x, MAC(K, x)]$ for any new input $x \neq x_i$.

MACS BASED ON BLOCK CIPHERS: DAA

- ❖ Can use any block cipher chaining mode and use final block as a MAC
- ❖ Data Authentication Algorithm (DAA) is a widely used MAC based on DES-CBC
 - using $IV=0$ and zero-pad of final block
 - encrypt message using DES in CBC mode
 - and send just the final block as the MAC
- ❖ But final MAC is now too small for security

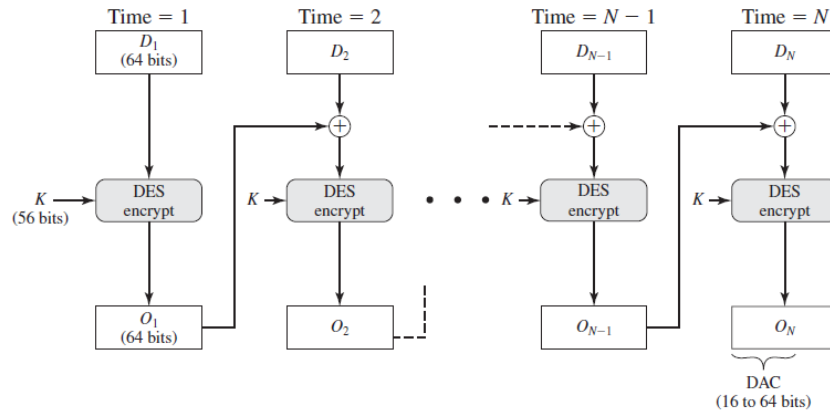


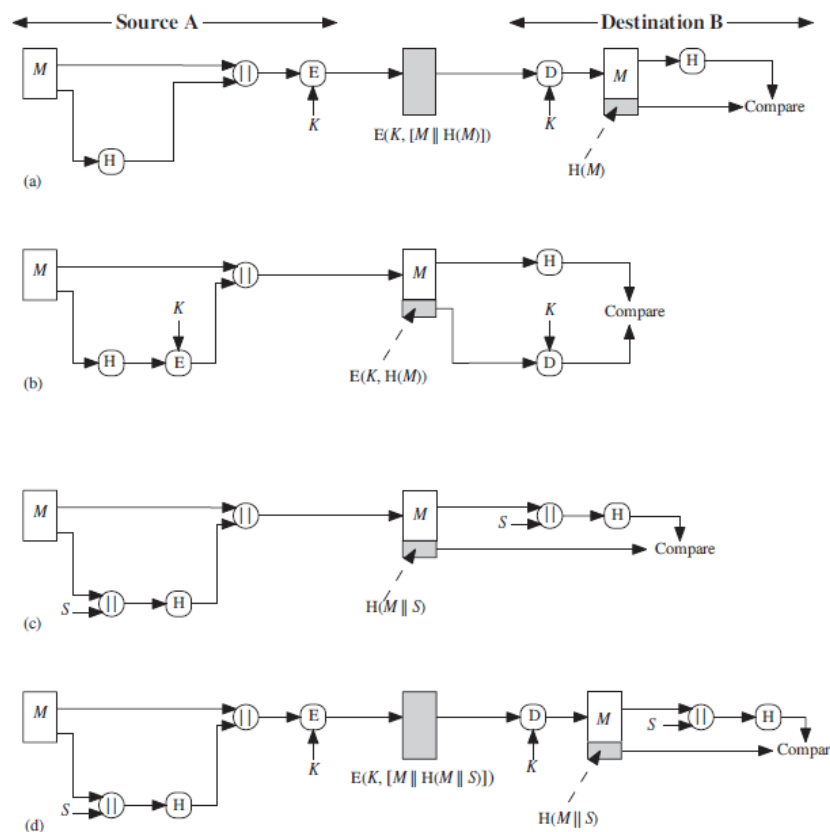
Figure 12.7 Data Authentication Algorithm (FIPS PUB 113)

Hash functions

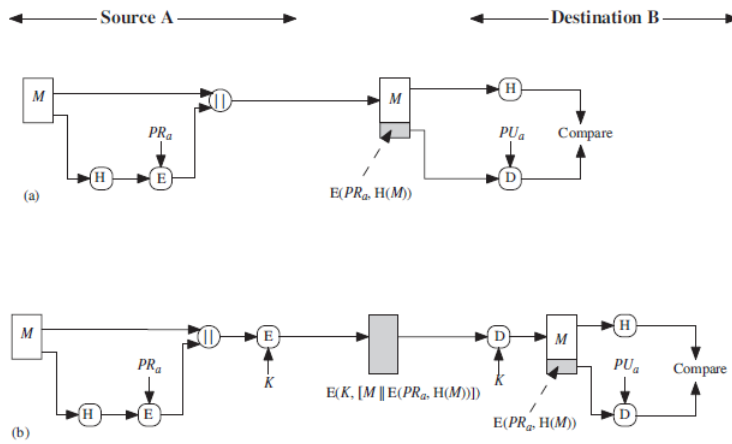
A **hash function** H accepts a variable-length block of data as input and produces a fixed-size hash value $h=H(M)$.

Applications of hash functions

1- Message authentication



2- Digital signature



- 3- Store the one-way password file.
- 4- hash function can be used to construct a **pseudorandom function (PRF)** or a **pseudorandom number generator (PRNG)**.

Requirements for Hash Functions

1. Can be applied to any sized message M
2. Produce fixed-length output h
3. Easy to compute $h=H(M)$ for any message M
4. **one-way property**: given h is infeasible to find x s.t. $H(x)=h$
5. **weak collision resistance**: given x is infeasible to find y s.t. $H(y)=H(x)$
6. **strong collision resistance**: infeasible to find any x,y s.t. $H(y)=H(x)$

Birthday Attacks

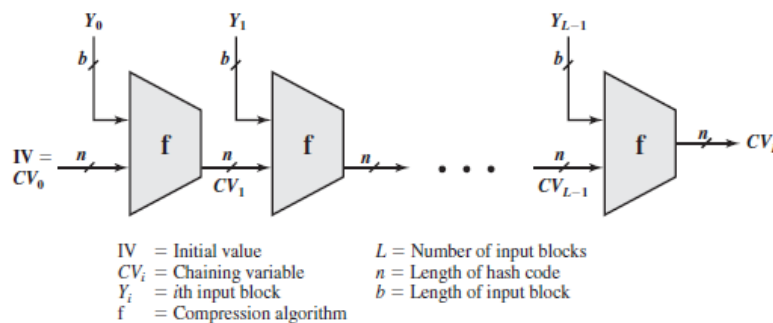
- If there are 23 people in a room, the probability that at least two people have the same birthday is slightly more than 50%.
- If there are 30, the probability is around 70%.
 - Finding collisions of a hash function using Birthday Paradox.
 - randomly chooses k messages, x_1, x_2, \dots, x_k
 - search if there is a pair of messages, say x_i and x_j such that $h(x_i) = h(x_j)$.
 - If so, one collision is found.
 - This birthday attack imposes a lower bound on the size of message digests.
 - If $n = 64$, the probability of finding one collision will be higher than half after slightly more than 2^{32} random hashes being tried.

Block Ciphers as Hash Functions

- Can use block ciphers as hash functions
 - use $H_0=0$ and zero-pad of final block
 - compute $H_i = E_{M_i} [H_{i-1}]$
 - use final block as the hash value
 - similar to CBC but without a key
- Resulting hash is too small (64-bit) both due to direct birthday attack

The hash function structure

The hash function takes an input message and partitions it into L fixed-sized blocks b of bits each. If necessary, the final block is padded to bits. The final block also includes the value of the total length of the input to the hash function.



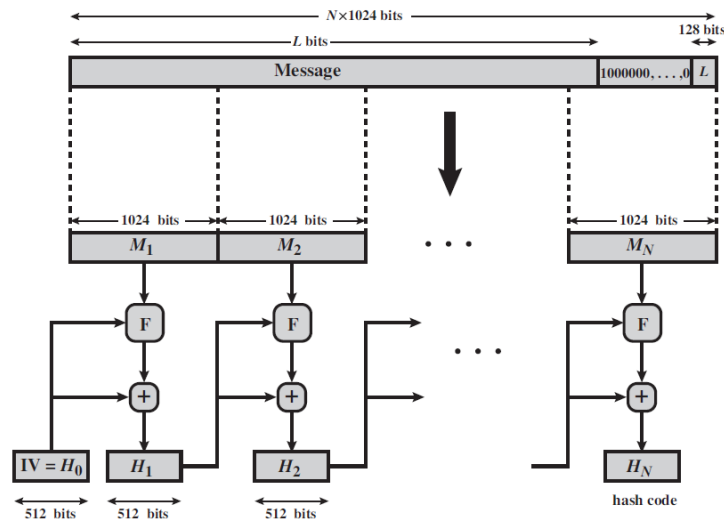
- Cryptanalysis of hash functions focuses on the internal structure of f and is based on attempts to find efficient techniques for producing collisions for a single execution of f .
- Typically, as with symmetric block ciphers, f consists of a series of rounds of processing.
- Two popular hash functions are MD5 and SHA-1.
- Both MD5 and SHA-1
- The output length of MD5 is 128 bits and that of SHA-1 is 160 bits. The longer output length of SHA-1 makes the generic "birthday attack" more difficult: for MD5, a birthday attack requires about $2^{128/2} = 2^{64}$ hash computations, while for SHA-1 such an attack requires about $2^{160/2} = 2^{80}$ hash computations.

SECURE HASH ALGORITHM (SHA)

- SHA was developed by the National Institute of Standards and Technology (NIST) and published in 1993. The first version is called SHA-0.
- SHA-1, produced in 1995, produces a hash value of 160 bits.
- In 2002, NIST produced a revised version that defined three new versions of SHA, with hash value lengths of 256, 384, and 512 bits, known as SHA-256, SHA-384, and SHA-512, respectively. These hash algorithms are known as **SHA-2**.

SHA-512 Logic

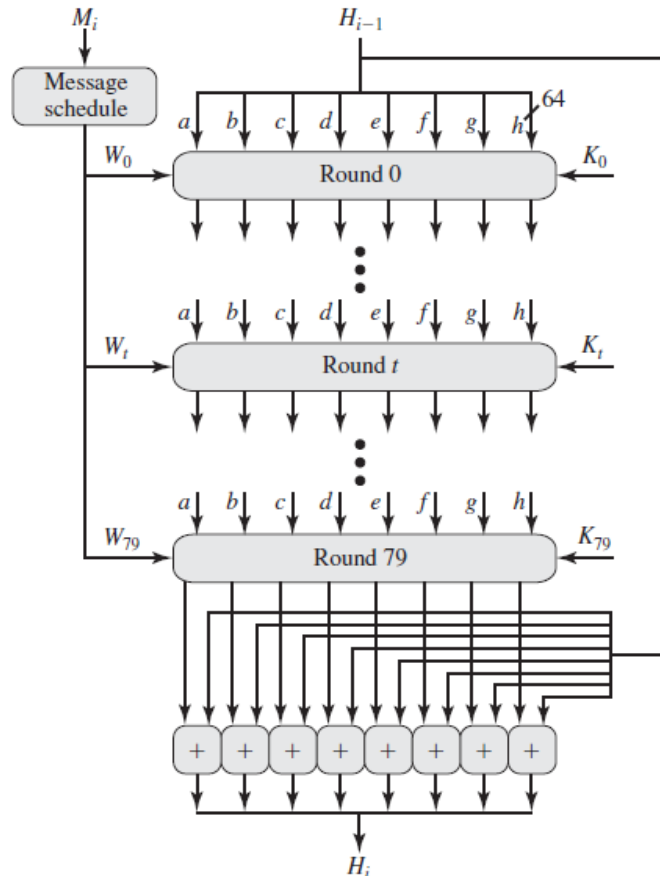
The algorithm takes as input a message with a maximum length of less than 2^{128} bits and produces as output a 512-bit message digest. The input is processed in 1024-bit blocks.



- A 512-bit buffer is used to hold intermediate and final results of the hash function. The buffer can be represented as eight 64-bit registers (a, b, c, d, e, f, g, h). These registers are initialized to the following 64-bit integers (hexadecimal values):

$a = 6A09E667F3BCC908$ $e = 510E527FADE682D1$
 $b = BB67AE8584CAA73B$ $f = 9B05688C2B3E6C1F$
 $c = 3C6EF372FE94F82B$ $g = 1F83D9ABFB41BD6B$
 $d = A54FF53A5F1D36F1$ $h = 5BE0CD19137E2179$

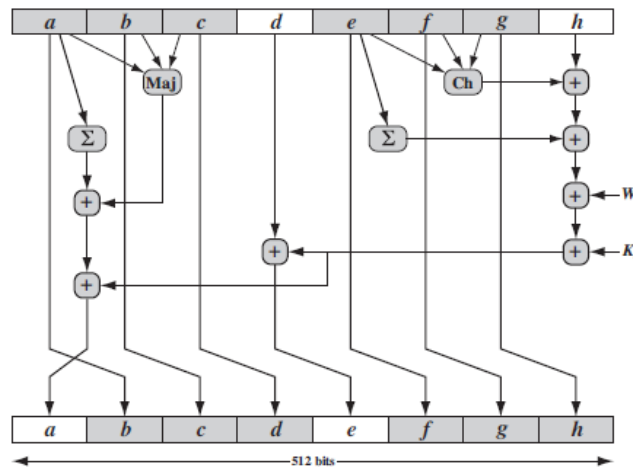
- The heart of the algorithm is a module that consists of 80 rounds; this module is labeled F.



- Each round makes use of a 64-bit value w_i , derived from the current 1024-bit block being processed (M_i). Each round also makes use of an additive constant K_t where $t=0\dots79$, indicates one of the 80 rounds.

SHA-512 Round Function

Each round is defined by the following set of equations:



Where,

$Maj(a, b, c) = (a \text{ AND } b) \oplus (a \text{ AND } c) \oplus (b \text{ AND } c)$
the function is true only of the majority (two or three) of the arguments are true

$Ch(e, f, g) = (e \text{ AND } f) \oplus (\text{NOT } e \text{ AND } g)$
the conditional function: If e then f else g

$$\left(\sum_0^{512} a\right) = ROTR^{28}(a) \oplus ROTR^{34}(a) \oplus ROTR^{39}(a)$$

$$\left(\sum_1^{512} e\right) = ROTR^{14}(e) \oplus ROTR^{18}(e) \oplus ROTR^{41}(e)$$

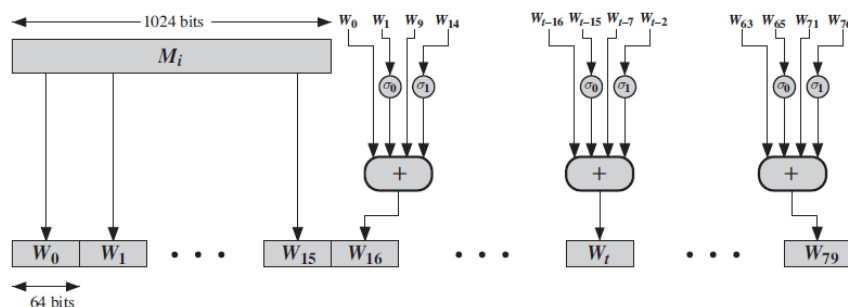
- It remains to indicate how the 64-bit word values are derived from the 1024-bit message.
- The first 16 values of are taken directly from the 16 words of the current block. The remaining values are defined as

$$W_t = \sigma_1^{512}(W_{t-2}) + W_{t-7} + \sigma_0^{512}(W_{t-15}) + W_{t-16}$$

where

$$\sigma_0^{512}(x) = ROTR^1(x) \oplus ROTR^8(x) \oplus SHR^7(x)$$

$$\sigma_1^{512}(x) = ROTR^{19}(x) \oplus ROTR^{61}(x) \oplus SHR^6(x)$$

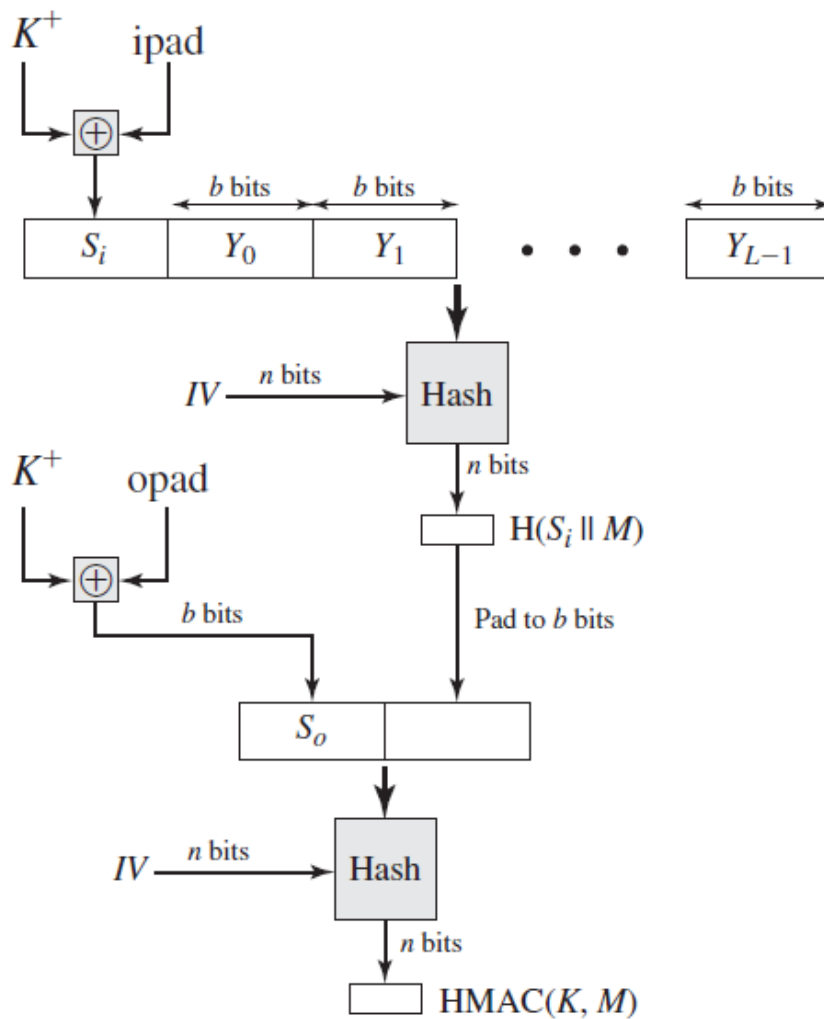


MACS BASED ON HASH FUNCTIONS: HMAC

- MAC can be generated by use hash functions.
- This is because hash functions such as MD5 or SHA are faster than symmetric block ciphers like DES.

- A hash function such as SHA was not designed for use as a MAC and cannot be used directly for that purpose, because it does not rely on a secret key.
- There have been a number of proposals for the incorporation of a secret key into an existing hash algorithm.
- The approach that has received the most support is HMAC
- HMAC has been issued as RFC 2104, has been chosen as the mandatory-to-implement MAC for IP security, and is used in other Internet protocols, such as SSL.

HMAC Algorithm



Where,

- H = embedded hash function (e.g., MD5, SHA-1, RIPEMD-160)
- IV = initial value input to hash function
- M = message input to HMAC (including the padding specified in the embedded hash function)
- Y_i = i th block of $M, 0 \leq i \leq (L - 1)$
- L = number of blocks in M
- b = number of bits in a block
- n = length of hash code produced by embedded hash function
- K = secret key; recommended length is $\geq n$; if key length is greater than b , the key is input to the hash function to produce an n -bit key

K^+ = K padded with zeros on the left so that the result is b bits in length

ipad = 00110110 (36 in hexadecimal) repeated $b/8$ times

opad = 01011100 (5C in hexadecimal) repeated $b/8$ times

Then HMAC can be expressed as

$$\text{HMAC}(K, M) = \text{H}[(K^+ \oplus \text{opad}) \parallel \text{H}[(K^+ \oplus \text{ipad}) \parallel M]]$$

Security of HMAC

- Security of HMAC relates to that of the underlying hash algorithm
- Attacking HMAC requires either:
 - brute force attack on key used
 - birthday attack (but since keyed would need to observe a very large number of messages)

Obtaining Privacy and Message Authentication

Sometimes we actually need both *privacy* and *authentication*. There are three common approaches to combining encryption and message authentication.

1. *Encrypt-and-authenticate*: In this method, encryption and message authentication are computed and sent separately. That is, given a message m , the final message is the pair (c, t) where:

$$c = \text{Enc}_{k_1}(m) \text{ and } t = \text{Mac}_{k_2}(m)$$

2. *Authenticate-then-encrypt*: Here a MAC tag t is first computed, and then the message and tag are encrypted together. That is, the message is c , where:

$$c = \text{Enc}_{k_1}(m, t) \text{ and } t = \text{Mac}_{k_2}(m)$$

Note that t is not sent separately to c , but is rather incorporated into the plaintext.

3. *Encrypt-then-authenticate*: In this case, the message m is first encrypted and then a MAC tag is computed over the encrypted message. That is, the message is the pair (c, t) where:

$$c = \text{Enc}_{k_1}(m) \text{ and } t = \text{Mac}_{k_2}(c)$$

- Both 1 and 2 approaches have been proven to be *not secure*.
- Approach 3 is secure.
- If an encryption scheme and a message authentication scheme are both needed, then *independent* keys should be used for each one.