

UNIVERSITY OF BASRAH  
COLLEGE OF EDUCATION FOR HUMAN SCIENCES  
DEPARTMENT OF ENGLISH

# ENGLISH GRAMMAR 4

**FOURTH YEAR**

**Asst. Prof. MAHDI ALASADI**

2018-2019

BASRAH-IRAQ

# The Simple Sentence

A sentence is a very complicated thing to analyze, because it can be very, very long, consisting of an enormous number of clauses, for example: 'This is the dog that chased the cat that caught the rat that ate the cheese that Jim bought from the store that ... etc.'

Or it can be very short, consisting of only one little clause (e.g. 'People left'). Though the number of possible sentences in English is infinite (we can sit here and continue making up sentences and more sentences until we die of old age!), it can be seen that they fall into a relatively small number of **patterns**. Understanding these patterns can help us to make grammatical sentences more easily.

Since a sentence is made up of one or more **clauses**, let's first analyze the structure of the clause in English, and then the structure of the sentence will become clearer. A sentence which consists of only one clause is called a '**simple sentence**'. In this lecture, we'll explore the structure of the clause (or simple sentence), i.e. the parts that it is made up of and the realization type of each element.

## Sentence Elements

A sentence may alternatively be seen as comprising five units called *Elements of Sentence Structure: Subject (S), Verb (V), Complement (C), Object (O), Adverbial (A)*.

## Element Realization Types

Sentence elements can be realized by linguistic structures of very different form. Here, we shall tackle them one by one:

### 1. The Verb

The verb element is always a *verb phrase*. This may be *finite (showing tense, mood, aspect and voice)* or *non-finite (not showing tense or mood but still capable of showing aspect and voice)*. Whether finite or non-finite, the verb phrase can consist of one word, or of more than one word, in which case the verb phrase consists of a '*head verb*' preceded by one or more '*auxiliary verbs*' as it is indicated by the *Four Basic Verb Combinations Rules* below:

- A. **Modal + inf.** as in: We **can do** nothing.
- B. **Perfect (have+p.p)** as in: He **had forgotten** their wedding anniversary.
- C. **Progressive (be+ v+ing)** as in: The wind **is growing** stronger.
- D. **Passive (be+p.p)** as in: He **was challenged** rudely.

These four basic verb combination rules may also combine with each other to make up longer strings of verbs in one single verb phrase as illustrated below:

1. **A+B=** He **must have typed** the report himself.
2. **A+C=** He **may be typing** at the moment.
3. **A+D=** The report **could be typed** by Jane.
4. **B+C=** He **has been typing** all morning.
5. **B+D=** The report **has been typed** already.
6. **C+D=** The report **is being sent** to the FBI.
7. **A+B+C=** He **must have been typing** the report himself.
8. **A+B+D=** The reports **must have been typed** by the secretary.

## Task one:

Put the verbs and adverbials in the correct order in the verb phrases below.

1. I do can nothing for you.
2. I have been could here before, but I don't remember.
3. They never going are to tell him the truth about the accident. It's too terrible.
4. That house built must been have at the end of the nineteenth century.
5. The work completed is being as we speak.
6. He working been has on that project for two years now.
7. She already seen has the film.
8. Surely he be can't going to waste all that money on a car he never uses.
9. They have might gone to the meeting. I just don't know.
10. That made hasn't things difficult for you, has it?

## Task two:

In the story below, the verb phrases have been omitted. Complete the story, by putting one of the verb phrases here as indicated by the alphabetical order shown where the verbs should be. (**couldn't believe; couldn't do; had been intending; had been locked away; had been losing; had been made; had lost; hadn't arrived; hadn't done; must have got up; should have remembered; was only just getting; were still locked; would only be opened**).

I .....(1 – A+B)..... very early that morning because the morning newspaper .....(2 – B)....., and, when I left the house, it .....(3 – C) ..... light. I .....(4 – B+C)..... to get to work early for some time as I had a large backlog of work to catch up with. The night security guard.....(5 – A)..... it when I arrived and the doors .....(6 – D) ..... He let me into my office. I was ready for work. Now came the

problem. I .....(7 – A+B).....! The files I wanted .....(8 – B+D) ..... for security reasons. The room where they were .....(9 – A+D)..... when the day-time security guard came on duty at 8.30. Arrangements .....(10 – B+D)..... beforehand. In the past weeks, I.....(11 – B+C)..... sleep because I .....(12 – B).....the work. Now I .....(13 – B)..... sleep because I wanted to do the work, but .....(14 – A).....

## Transitive & Intransitive Verbs

Verbs such as *agree*, *explode*, *sleep*, which do not require any other words after them, are called *intransitive verbs*. Intransitive verbs, however, can (optionally) have adverbials after them, e.g.

1. I agree *completely*. (*how*)
2. I slept *without any difficulty*. (*how*)
3. The star exploded *a million years ago*. (*when*)
4. Sara is sleeping *quietly in her bed, because she is tired*. (*how*) (*where*) (*why*)

Some verbs require an object after them. An object is a noun phrase (pronoun, noun or noun clause) which follows a verb and which usually answers the question ‘what?’ or ‘whom?’ after the verb, e.g.

1. I like ice cream. (I like what?)
2. I asked Sam. (I asked who or whom?)
3. I asked a question. (I asked what?)
4. I know Sue is a very good gardener. (I know what?)

Verbs which have an object after them are called transitive verbs. Sentence 4 shows that a whole sentence (*Sue is a very good gardener*) can be an object. Sometimes an object is followed by an optional adverbial, e.g. *I bought some cheese on Friday*. All the objects included in Sentences 1–4 are usually called direct objects. Some verbs require or permit two objects, e.g. *Sue gave Max a present*. Both *Max* and *a present* are objects. *Max* is an indirect object, and *a present* is a direct object.

## Task One

**In the following sentences, underline the subject and put brackets around the object.**

1. Mary owns a hotel.
2. They appreciated your hospitality.
3. The new book contains a very good index.

4. Some people have big feet.
5. This bag holds all my books.
6. That new tie suits him.
7. For some reason, she wants a box of matches.
8. I really enjoy Mozart's piano sonatas.
9. You will like what I am going to tell you.
10. We need your help tomorrow morning.

## Task Two

For each sentence below, decide whether the lexical verb is transitive (t) or intransitive (i) in that sentence. Remember, a transitive verb is followed by an object. Some of the sentences contain adverbials. Be careful to distinguish these adverbs from objects.

1. I don't watch TV very often.
2. These methods never fail.
3. I didn't see you at the weekend.
4. Max arrived early.
5. Our team won again.
6. We have completed our review of the department.
7. The price includes fares and accommodation.
8. I can swim.
9. My friend has a headache.
10. Sue enjoyed the concert.

## 2. The Subject

The subject of the sentence is usually a *Noun Phrase*, at its simplest a pronoun such as *she, he, they...etc* or a proper noun such as *Tom, Julia...etc*. But a noun phrase may be an indeterminately long and complex having a noun as a head, preceded by other words such as an article, an adjective, or another noun, and followed by a prepositional phrase or by a relative clause; it is by no means uncommon to find all such items present in a noun phrase:

- *The new gas stove in the kitchen which I bought yesterday* has a very efficient oven.

The subject of a sentence may be a '*Clause*' as in:

- *That the invading troops have been withdrawn* has been welcomed by the international community.
- *How the book will sell* depends on the reviewers.
- *To be neutral in his conflict* is out of question.
- *Watching television* keeps them out of mischief.

- *Turn off the tap* was all I did.

### 3. Subject complement, direct object, and object complement

These elements may be realized by the same range of structures as the subject. But subject and object complements have the additional possibility of being realized by adjectival phrases (having the adjective as the head word), as in:

- She made him *happy*.
- She made him *very much happier*.

### 4. The Indirect Object

The indirect objects have fewer possibilities than subjects and their realizations are chiefly noun phrases, as in:

- He had given *the girl* a book.

Unlike direct objects and subjects, they cannot be realized by *that-clauses*.

### 5. Adverbials

Adverbials can be realized (a) by adverb phrases, having an adverb as a head; (b) by noun phrases; (c) by prepositional phrase -that is, structure consisting of a noun phrase dominated by a preposition as a head; and (d) by clauses, finite or non-finite:

- John *very carefully* searched the room.
- They make him the chairman *every year*.
- She studied *at a large university*.
- He grew happier, *when his friend arrived*.

## Basic Clause Patterns

The clause elements (e.g. verb, complement, object, etc.) combine to produce 'patterns' or 'structures'. There are a number of different possible clause patterns in English, including various sub-patterns of major patterns.

A traditional way of describing verb patterns is in terms of the grammatical functions of the words that follow the verb in a clause. Seven major patterns can be identified which occur with single word lexical verbs.

### 1. Subject + Verb (SV)

This pattern involves the use of intransitive verbs which have no object and is

usually straightforward for language learners, *e.g. Sue's cat died. I have retired.* Sometimes, however, there is an optional adverbial after the verb, *e.g. I waited outside. They left an hour ago.* This may make the pattern seem to be different. Compare, for example:

- *Our neighbors returned next day. (SV)*
- *Our neighbors returned our newspaper. (SVO)*

There are very few verbs which are typically only intransitive. Examples are *come, go, wait, sleep.* Even some of these can have transitive uses, *e.g. This bedroom can sleep three people.*

## 2. Subject + Verb + Object (SVO)

Verbs which are used transitively with one direct object are said to be monotransitive. They take the **SVO** pattern, e.g.

- I cooked lunch.
- Max described his new job.
- Sue didn't mention her worries.

Many verbs (e.g. *break*) can be used both transitively and intransitively, sometimes with changes of meaning, e.g.

- The glass broke. (SV) (intransitive)
- I broke the glass. (SVO) (transitive)

## 3. Subject + Verb + Complement (SVC)

The verb (*be*) is by far the most frequently used verb in English. In some ways (*be*) is not like other verbs at all. It cannot be classified as either transitive or intransitive. In many languages there is no equivalent of the English verb *to be*. For example, in English we can say *I am a pilot* or *Fred is happy*, but in some other languages like Arabic these sentences have the form *I (a) pilot* or *Fred happy*.

The verb (*be*) is sometimes called a *copular verb* because it links elements. The copula has almost no meaning of its own, but it functions as a link between the subject and the adjective or noun in the predicate. That is, something is needed after the copula to complete the predicate. Adjectives or nouns which complete the predicate are called subject complements. In the sentences *Fred is busy* or *Sue is the director*, the complements are *busy* and *the director*.

In most sentences which contain the **SVC** pattern, the verb is a form of *be*. Other verbs which can occur in this pattern include *seem, feel, get, become, grow, smell, stay, taste, appear, sound, remain, look*. These verbs also need a complement, e.g. *She seems pleased. He became ill. He remained a bachelor.*

Some of the verbs that occur in the SVC pattern can also occur in other patterns, e.g.:

- Fred felt sick. (SVC) The customer felt the fabric. (SVO)
- The food tasted good. (SVC) Fred tasted the food. (SVO)

#### 4. Subject + Verb + Adverbial (SVA)

In many sentences adverbials are optional. We can say *I opened the door quickly* or *I opened the door*. However, when adverbials occur after the verb *be*, they are usually obligatory, e.g. *Sam is on the committee*. Most adverbials which occur anywhere else except after part of the verb *be* are optional and can be moved to other positions in the sentence without changing the meaning, e.g. *The movie finished at 10.30* could be *At 10.30 the movie finished*.

#### 5. Subject + Verb + Object + Object (SVOO)

The SVOO pattern has two object noun phrases, and is said to have ditransitive or double transitive verbs.

S	V	O	O
I	gave	them	our address.
I	bought	Fred	a ticket.

The first object (*them, Fred*) is sometimes called an indirect object preceding the direct object. The SVOO pattern can usually be changed into an SVOA pattern (Pattern 7) in the following way:

S	V	O	A
He	gave	our address	to them.
He	bought	a ticket	for Fred.

#### 6. Subject + Verb + Object + Complement (SVOC)

The SVOC pattern is not common. The relationship between the two nouns that come after the verb is like the relationship between the nouns that come before and after the verb *to be*, e.g.

S	V	O	C		S	V	C
They	elected	Adams	President	=	Adams	was	President.

Sometimes the last item is an adjective instead of a noun, e.g.

**S V O C**

**SV C**

The ride made me dizzy = I was dizzy.

## 7. Subject + Verb + Object + Adverbial (SVOA)

In this pattern some verbs have an obligatory adverbial. The most frequent verb taking this pattern is *put*, e.g. *I put the book on the shelf* (cf. *\*I put the book*). For some verbs which take this pattern, however, the adverbial is not obligatory, e.g. *hold, leave, keep, send, bring, take*. *I sent a letter (to my aunt); I left my phone number (with the receptionist)*.

### Notes:

The *direct object, complement, adverbial* are obligatory elements of clause structure in the sense that they are required for the complementation of the verb. *However, in some cases, a direct object or an object complement could be considered grammatically optional\**.

- They are hunting. (SV) ----- They are hunting ducks. (SVO)
- They crowned him. (SVO)---- They crowned him king. (SVOC)
- He is teaching. (SV) ----- He is teaching chemistry. (SVO)  
He is teaching them chemistry. (SVOO)

This case is regarded to be a case of *conversion*, whereby a verb like (hunt) is transferred from the transitive into the intransitive category. Thus, "*They are hunting*." is an example of clause type (SV) rather than of (SVO) with optional deletion of the direct object.

These clause patterns can also be expanded by having an optional adverbial added in most cases.

### Optional Adverbials

The seven sentence patterns are expandable. They can be expanded by the addition of various optional adverbials:

SV: (A) S V (A). e.g. (Usually) the bell rings (in time).

SVA: (A) S V A (A). e.g. (In Iraq) all students are on vacation (in spring).

SVOO: S (A) V O O. e.g. They (kindly) found the displaced shelters.

## Transformational Relations

One can distinguish the various clause types by means of "*transformational relations*", or relations of grammatical paraphrase.

Clauses which contain object noun phrases are distinguished by their conversion capability into passive clauses, the object noun phrase assuming the function of the subject, the subject of the active sentence may appear in an optional *by-phrase*, symbolized as (A).

- Jake stole my keys. (SVO) --- My keys were stolen (*by Jake*). ( $SV_{\text{pass}}(A)$ )

Where the passive draws more attention to the result than to the action or agency. The following examples illustrate the passive with other clause types:

- The parliament elected Adams President. (SVOC)  
Adams was elected president (*by the parliament*). ( $SV_{\text{pass}}C(A)$ )
- We watch TV every Sunday evening. (SVOA)  
TV is watched every Sunday evening. ( $SV_{\text{pass}}A$ )
- My boss bought Fred a ticket. (SVOO)  
Fred was bought a ticket (*by my boss*). ( $SV_{\text{pass}}O_d(A)$ )  
A ticket was bought for Fred (*by my boss*). ( $SV_{\text{pass}}O_i(A)$ )

Although the syntactic or grammatical structures of the clause patterns are different from one another. There is sometimes a semantic equivalence between clause types SV, SVC and SVA as it is shown by the occasional equivalences in the following examples:

- $SV = SVC_s$   
The house is blazing. = The house is ablaze.  
The boat is floating. = The boat is adrift.
- $SV = SVA$   
He hurried. = He went fast.
- $SVC_s = SVA$   
They were breathless. = They were out of breath.  
He is healthy. = He is in good health.  
He is a suspect. = He is under suspicion.

## Intensive Relationship

Both subject and object complements are in a copular relationship with another clause element. The subject complement relates to the subject, and the verb is copular, i.e it is either a *linking verb* or a *verb to be*. The copular verb is one that is capable of showing close relationship between two clause elements. In SVC

clause type the relationship between the subject and the complement is a very intensive one.

- *My class is empty.*
- *Their daughter* has become *an accountant.*

In SVOC clause type, the object complement relates to the direct object. This kind of implied relationship between the object and the object complement can be expressed by means of a corresponding SVC sentence with a copular verb, *be* if the object complement is a current attribute and *become* if it is a resulting attribute.

- We find *them very pleasant.* (They are very pleasant)
- Carol made *Jim and Mark her assistants.* (Jim and Mark became Carol's assistants)

The concept of intensive relationship can be further extended to the relation of subject to adverbial and object to adverbial in SVA and SVOA clause types respectively.

- I put the kettle *on the stove.* (The kettle is on the stove)
- He wants the payment *in dollars.* (The payment is in dollars)

SVOO clauses can also be transferred into SVOA clauses by the substitution of a prepositional phrase for the indirect object, with a change of order:

- He bought his wife a ring.(SVOO). He bought a ring for his wife.(SVOA)
- She sent me a text message. (SVOO). She sent a text message to me. (SVOA)

*To & For*, in their recipient senses, are the prepositions chiefly involved. *For* is used for "*intended recipient*", the wife in the above (SVOA) example may or may not have actually received the ring). *To* is used for "*actual recipient*", me in the above (SVOA) example entails that I actually received the text message.

Other prepositions, such as *with & of*, are occasionally found:

- I'll play you a game of tennis. (SVOO). I'll play a game of tennis with/against you. (SVOA)
- She asked Jim a favor. (SVOO). She asked a favor of Jim. (SVOA)

## Multiple Class Membership of Verbs

It must be borne in mind that some verbs can belong to a number of different classes, and hence can occur in more than one clause pattern. When this happens there is often a slight change of meaning. The verb *get* is the most versatile verb

which can occur, in various senses, in six clause patterns. Other verbs range between two to three clause patterns.

- Smith *led* the other runners. (SVO)
- The track *led* into the forest. (SVA)
- We *have eaten*. (SV)
- We *have eaten* very well. (SVA)
- We *have eaten* an apple pie. (SVO)
- She *gave* him a red rose. (SVOO)
- They *gave* several presents. (SVO)
- They *gave* generously. (SVA)

Ambiguities can arise because of the multiple class membership of verbs:

- I found him a good roommate.
- He called his wife a waitress.

The above two sentences could be interpreted either as SVOC or SVOO.

## Semantic roles of clause elements

In terms of meaning, every clause describes a situation in which a number of participants are involved. By PARTICIPANTS we understand entities realized by noun phrases, whether such entities are concrete or abstract. Thus, we have three participants in:

*John* found a *good spot* for *the magnolia tree*.

The sentence "*Unfortunately, their child broke my window yesterday.*" contains a verb describing the nature of the action, a subject denoting an agentive participant (the agent or doer of the action), and a direct object denoting an affected participant. In addition, it contains an adverbial evaluating the situation (unfortunately) and an adverbial locating the situation in time (yesterday).

Clause elements denote semantic roles in the situation apart from the participants. Thus, the verb -or the copular verb in combination with a complement - is the primary device for distinguishing situation types as stative or dynamic and as subtypes of these two types. The subject complement and the object complement denote attributes of the subject and direct object respectively. Adverbials denote such circumstances of the situation as time, place, and manner of action, express the speaker's evaluation of the situation, or provide logical connections across clauses or sentences.

## Semantic Roles of the Subject

The subject has a number of semantic roles. The most typical semantic role of a subject in a clause that has a direct object is that of the **AGENTIVE** participant: that is, the animate being instigating or causing, the happening denoted by the verb:

- *Margaret* is mowing the grass.

The subject sometimes has the role of **EXTERNAL CAUSER**; that is, it expresses the unwitting (generally inanimate) cause of an event:

- *The electric shock* killed him.
- *The avalanche* destroyed several houses.

It may also have the role of **INSTRUMENT**; that is, the entity (generally inanimate) which an agent uses to perform an action or instigate a process:

- *A stone* broke his glasses.
- *A car* knocked them down.
- *The computer* has solved the problem.

With intransitive verbs, the subject also frequently has the **AFFECTED** role elsewhere typical of the direct object:

- *Jack* fell down (accidentally).
- *The pencil* was lying on the table.

Some further distinctions can be made within the affected role for subjects according to whether the subject complement as attribute identifies or characterizes. Thus, the subject is **IDENTIFIED** in the first example below, and **CHARACTERIZED** in the second example below:

- Kevin is my brother.
- Martha was a good student.

The assignment of the affected role to the subject of an intransitive verb seems clearest when there is a corresponding transitive verb with which the same noun phrase is a direct object in the affected role:

- I am frying *the fish*. [O, as affected]
- *The fish* is frying. [S as affected]

There is sometimes a regular relation, in terms of clause function, between transitive verbs expressing **CAUSATIVE** meaning and corresponding intransitive verbs or adjectives.

(i) SVO

Tom is cooking the dinner.

SV

The dinner is cooking.

Geoffrey/The wind/My key opened  
the door.

(ii) SVO

Someone raised an arm.

The frost has killed the flowers.

My axe has felled that tree.

(iii) SVO

They have dimmed the lights.

The sun (almost) blinded him.

His manner angered me.

(iv) SVO

The sergeant paraded the company.

I am exercising my dog.

The door opened.

SV

An arm rose.

The flowers have died.

That tree has fallen.

SVC

The lights became dim.

He (almost) went blind.

I got angry.

SV

The company paraded.

My dog is exercising.

The subject may have a **RECIPIENT** role with verbs such as *have, own, possess, and benefit from*), as is indicated by the following relation:

- Mr Smith has given his son a radio. [So now his son has a radio.]
- I bought my daughter a tablet computer. [So now she has a tablet.]

The perceptual verbs *see* and *hear* require an **experiencer** subject, in contrast to *look at* and *listen to*, which are agentive. The other perceptual verbs *taste, smell*, and *feel* have both an agentive meaning corresponding to *look at* and an experiencer meaning corresponding to *see*:

- I can taste the pepper in my soup.

Verbs indicating cognition or emotion may also require an experiencer:

- I thought you were mistaken. [It seemed to me you were mistaken.]
- I liked the play. [The play pleased me/gave me pleasure.]

Normally, recipient and experiencer subjects go with verbs used statively.

The subject may have the **LOCATIVE** role of designating the place of the state or action, or the **TEMPORAL** role of designating its time:

- *Los Angeles* is foggy. ['It's foggy in Los Angeles.']
- *Basrah* is hot and humid. ['It's hot and humid in Basrah.']
- *My tent* sleeps four people. ['Four people can sleep in my tent.']



It's just one more stop to Toronto.

It's only a hundred miles from here to Philadelphia.

## Semantic Roles of the Direct Object

The most typical function of the direct object is that of the **AFFECTED** participant; i.e. a participant (animate or inanimate) which does not cause the happening denoted by the verb, but is directly involved in some other way:

- He sold *his digital camera*.

The direct object may have a **LOCATIVE** role with such verbs as *walk, swim, pass, jump, turn, leave, reach, surround, cross, climb*:

- We walked *the streets*. ['We walked *through* the streets.']
- She swam *the river*. ['She swam *across* the river.']
- He passed *a cyclist*. ['He passed *by* a cyclist.']
- The horse jumped *the fence*. ['The horse jumped *over* the fence.']

Superficially, these objects may seem to be adverbials with an omitted preposition. In most cases their status as objects is clear, however, from their ability to assume subject role in a corresponding passive clause, *e.g.: The fence was jumped by the horse*.

A **RESULTANT/EFFECTED** object is an object whose referent exists only by virtue of the activity indicated by the verb:

- Baird invented *television*.
- They are designing *a new car*.
- John has painted *a new picture*.
- She made *a fire*.
- I'm writing *a letter*.
- I baked *a cake*.

With an agentive subject and an affected object, one may always capture part of the meaning of a clause (*e.g.: X destroyed Y*) by saying 'X did something to Y'; but this does not apply to a resultant/effected object: *Baird invented television* does not imply 'Baird did something to television'. The affected object simply refers to something that already exists whereas the resultant /effected object refers to something that comes to existence by virtue of the activity

indicated by the verb. Contrast the affected object in *I'm digging the ground* with the resultant object in *I'm digging a hole*.

### Cognate object

A **COGNATE** object is similar to a resultant object in that it refers to an event indicated by the verb; in other words it repeats, partially or wholly, the meaning of the verb:

Chris will sing *a song* for us.

She lived *a good life*.

They fought *a clean fight*.

He breathed *his last breath*.

He died a *miserable death*.

### Phrases of Extent or Measure as Objects

When used as objects, phrases of extent or measure result in a kind of difficulty in analyzing them. As the examples given below do not generally permit the passive transformation there is a reason to analyze them as SVA rather than SVO. However, the final element behaves at least marginally like a direct object, as is shown by the question forms *What* alongside *How much*:

- He ran a mile.
- It costs ten dollars.            How much does it cost?
- It weighs almost a ton.        What does it weigh?

### Eventive Object

An **EVENTIVE** object takes the form of a deverbal noun, that is, a noun that is derived from a verb or verb phrase, but that behaves grammatically purely as a noun, not as a verb, preceded by a common verb of general meaning, such as *do, give, make, have and take*. This **EVENTIVE** object is semantically an extension of the verb and bears the major part of the meaning. Compare:

They *are arguing*. [V Only] - They *are having an argument*. [V + Eventive O]

They progressed well.        - They made good progress.

### Semantic Roles of the Indirect Object

The most typical role of the indirect object is that of the **RECEPIENT**; i.e. an animate participant being passively implicated by the happening or state:

- I found *you* a place.

- She sent *me* a bouquet of flowers.

The indirect object occasionally takes an **AFFECTED** role with a few of the verbs that combine with an eventive object. The most common verb in the latter construction is *give*:

- She gave *me* a push. ['She pushed me.']
- I gave *Helen* a nudge. ['I nudged Helen.']
- We gave *the baby* a bath. ['We bathed the baby.']
- I should give *the car* a wash. ['I should wash the car.']
- Give *the car* a push. ['Push the car.']
- Judith paid *me* a visit. ['Judith visited me.']
- Derek owes *us* a treat. ['It's Derek's turn to treat us.']

The indirect object has the same role as the affected direct object in the paraphrases.

## Concord

CONCORD (also termed 'agreement') can be defined as the relationship between two grammatical units such that one of them displays a particular feature (*e.g.* plurality) that accords with a displayed (or semantically implicit) feature in the other.

### Subject-verb concord

The most important type of concord in English is concord of 3rd person number between subject and verb. The normally observed rule is very simple:

- ❖ A singular subject requires a singular verb:
  - My daughter *watches* television after supper. [singular subject + singular verb]
- ❖ A plural subject requires a plural verb:
  - My daughters *watch* television after supper. [plural subject + plural verb]
- ❖ When the subject is realized by a noun phrase, the phrase counts as singular if its head is singular:
  - The *change* in the Iraqi economy is most obvious in investment.
- ❖ When the subject is realized by a noun phrase, the phrase counts as plural if its head is plural:
  - The *changes* in the Iraqi economy are most obvious in investment.

- ❖ When the subject is realized by a clause, finite or nonfinite, the clause counts as singular:
  - To treat them as slaves *is* inhuman.
  - Smoking *is* dangerous to your health.
  - That you answer all my questions *pleases* me.
- ❖ Prepositional phrases and adverbs functioning as subject count as singular:
  - In the evenings *is* best for me.
  - After the storm *comes* the calm.
  - Now *is* the time.
- ❖ Nominal relative clauses may have plural as well as singular concord:
  - What ideas he has *are* his wife's.
  - Whatever book a *Times* reviewer praises *sells* well.
  - What counts most *is* quality.

*Rule: A subject which is not clearly semantically plural requires a singular verb*

This rule explains why clausal and adverbial subjects require singular verbs. It also explains the tendency in informal speech for *is/was* to follow pseudo subject, the non-referential subject, *there* in existential sentences:

- There is thousands of displaced people in Basrah.
- There is hundreds of car bomb casualties every day.
- ❖ Invariable singular nouns ending in –s take a singular verb. These nouns fall into the following classes:
  - News: The news is bad today.
  - Some diseases: measles, German measles, mumps, rickets, shingles.
    - Mumps is a viral disease.
    - Rickets is a condition that affects bone development in children.
  - Subject names ending in –ics: linguistics, mathematics, phonetics, statistics.
  - Some games: billiards, bowls, darts, dominoes, draughts, checkers, fives, ninepins
  - Some proper nouns: Algiers, Athens, Marseilles, Naples
- ❖ Plural nouns lacking the inflection take plural verbs:
  - Our people are hopeless.
  - Cattle are the most common type of large domesticated animals.
  - Clergy are formal leaders in certain religions.
- ❖ Plural phrases (including coordinate phrases) count as singular if they are used as names, titles, quotations:
  - War and Peace is a fascinating novel.

- The Three Bears is a well-known nursery story.

## Principles of concord

The rule that the verb matches its subject in number may be called the principle of **GRAMMATICAL CONCORD**. Difficulties over concord arise through occasional conflict between this and two other principles: the principle of **NOTIONAL CONCORD** and the principle of **PROXIMITY**.

Notional concord is agreement of verb with subject according to the notion of number rather than with the actual presence of the grammatical marker for that notion. In British English, for example, collective nouns such as **government** are often treated as notionally plural:

- The government **have** broken all **their** promises.

In this example, the plural notion is signaled not only by the plural verb **have**, but also by the pronoun **their**.

The principle of proximity, also termed 'attraction', denotes agreement of the verb with a closely preceding noun phrase in preference to agreement with the head of the noun phrase that functions as subject:

- Not only the director but also the teachers **want** one week less of classes.

The preceding plural noun **teachers** has influenced the choice of the plural verb **want**, although the subject **Not only the director** is grammatically singular, since the head **the director** is singular.

## Collective nouns and notional concord

Collective nouns, notionally plural but grammatically singular, obey the principle of notional concord. In BrE the verb may be either singular or plural:

- The audience **were** enjoying every minute of the match. [1]
- The public **are** tired of the government's empty promises. [2]
- Germany **have** won the world cup. [3]
- Our Planning Committee **have** considered your request. [4]

The choice between singular or plural verbs depends in BrE on whether the group is being considered as a single undivided body, or as a collection of individuals. Thus, in BrE plural is more likely in [1] than singular, because attention is directed at the individual reactions of members of the audience.

On the other hand, the singular is more likely in these sentences:

- The audience **was** enormous.
- The public **consists** of you and me.

- The crowd *has* been dispersed.

## Coordinated subject

### *Coordination with and*

When a subject consists of two or more noun phrases (or clauses) coordinated by *and*, a distinction has to be made between appositional coordination and non-appositional coordination. Non-appositional coordination includes cases that can be treated as an implied reduction of two clauses. These have a verb in the plural:

- What I say and what I think *are* my own affair. [what I say is my own affair and what I think is my own affair]
- Jack and George are my roommates. [Jack is my roommate and George is my roommate]

Conjoinings expressing a mutual relationship also take a plural verb:

- Your cell phone and mine are similar. [Your cell phone is similar to mine and mine is similar to yours]

A singular verb form is used with conjoinings which represent a single entity:

- The Bat and Ball *sells* good juice.
- Broad bean and eggs *makes* a good Iraqi breakfast.

Arithmetical sums may be used with a singular or plural verb:

- Two and two [is/are>equals/equal] four
- Ten fives [makes/make] fifty.

Clause reduction isn't possible with appositional coordination because the coordinated structures refer to the same thing. That's why a singular verb is used:

- This temple of ugliness and memorial to Victorian bad taste *was* erected in the main street of the city.

The two opening noun phrases here both refer to one entity (a statue). The following example, however, could have either a singular or plural verb, depending on the meaning:

- His aged servant and the subsequent editor of his collected paper {was/were} with him in the conference.

Singular *was* is used if the servant and the editor are the same person, and plural *were* if they are two different people.

Some freedom is allowed in the interpretation of abstract nouns since it is not always easy to decide if they represent two qualities or one :

- Her calmness and confidence {is /are} astonishing.
- Law and order {has/have}been established

### Coordination with *or* and *nor*

When two noun phrases are joined by *or* or *either....or*, both grammatical concord and proximity concord can be used. Grammatical concord is clear when each member of the coordination has the same number:

- Either the Mayor or her deputy is bound to come.
- What I say or what I think is none of your business.

Proximity principle is to be used when the coordinated noun phrases have different numbers. The general rule, here, is that the number of the verb is determined by the number of the last or closest noun phrase.

- Either the workers or the foreman is to blame for the disruption.
- Either the foreman or the workers are to blame for the disruption.

The rules for the negative correlatives *neither. . . nor* are the same as for *either. . . or* in formal usage. In less formal usage, they are treated more like *and* for concord. Thus, [the first example below] is more natural in speech than [the second one]:

- Neither he nor his wife *have* arrived.
- Neither he nor his wife *has* arrived.

The coordinating correlatives *not. . . but* and *not only. . . but also* behave like *or* with respect to number concord:

- Not only he but his wife has arrived.
- Not (only) one but all of us were invited.
- Not just the students but even their teacher is enjoying the film.

The mixed expressions *one or two* and *between one and two* follow the principle of proximity in having plural concord:

- One or two reasons *were* suggested.

Similarly *one and* plus a fraction has plural concord, since the notion of plural applies not to at least two but to more than one:

- One and a half years *have* passed since we last met.

Grammatical concord is usually obeyed for *more than*:

- More than a hundred terrorists were killed yesterday.
- More than five thousands have participated in the opening ceremony.

Although *more than one person* is notionally plural, a singular verb is preferred (*one*) *person* operates as head of the noun phrase.

- More than one person has protested against the proposal.

### **Indefinite expressions as subject**

Another area of ambivalence for subject-verb number concord is that of indefinite expressions of amount or quantity, especially with the determiners and with the pronouns *no, none, all, some, any*, and fractions such as *half*. They have both count and noncount uses.

With noncount nouns (present or implied), the verb is of course singular:

- So far no money *has* been spent on repairs.
- None (of the money) *has* been spent on repairs.
- Some cement *has* arrived.
- Some (of the cement) *has* arrived.

With plural count nouns (present or implied) the verb is plural:

- No people of that name *live* here.
- Some books *have* been placed on the shelves.

*None* with plural count nouns is in divided usage:

- None of the books {has/have} been placed on the shelves.

With *either* and *neither* the singular verb is generally used:

- The two candidates have arrived, {and either/but neither} is welcome.

But a plural verb sometimes occurs in informal usage when *either* or (particularly) *neither* is followed by a prepositional phrase with a plural complement, both because of notional concord and because of the proximity rule:

- {Either/Neither} of them are welcome.
- None of the girls are coming.

The proximity principle may lead to plural concord even with indefinites such as *each, every, everybody, anybody*, and *nobody* (or indefinite phrases such as *everyone, any one*), which are otherwise unambivalently singular:

- Nobody, not even the teachers, were listening.
- Everyone of that audience were pleased with the show.

Many people consider the above sentences and similar ones as being ungrammatical for they contradict rules of grammatical concord.

### **Concord of Person**

In addition to 3<sup>rd</sup> person number concord with the subject, the verb in the present tense may have person concord with the subject - 1<sup>st</sup> and 3<sup>rd</sup> person concord with BE and only 3<sup>rd</sup> person concord with other verbs :

- I am your teacher. [1<sup>st</sup> Person singular concord]

- He is your friend. [3<sup>rd</sup> Person singular concord]
- He knows you well. [3<sup>rd</sup> Person singular concord]

A coordinated subject with *and* as coordinator requires a plural verb. Person concord does not apply, since there are no person distinctions in the plural:

- You and I know the answer.
- She and I are in charge.

If the coordinator is *or, either . . . or, or neither . . . nor*, in accordance with the principle of proximity the last noun phrase determines the person of the verb:

- Neither you, nor I, nor anyone else knows the answer.
- Either my wife or I am going.

Because of the awkwardness of this choice, a speaker may avoid it by using a modal auxiliary which is invariable for person (e.g.: Either my wife or I will be going) or by postposing the last noun phrase (e.g.: Either my wife is going or I am).

In relative clauses and cleft sentences, a relative pronoun subject is usually followed by a verb in agreement with its antecedent:

- It is I who am to blame.
- It is Kay who is in command.
- It is they who are complaining.

But 3rd person concord prevails in informal English where the objective case pronoun *me* is used:

- It's me who's to blame.

Similarly, 3rd person singular may be used in informal English in these constructions when the pronoun *you* has singular reference:

- It's you who's to blame.

**Cleft Sentence** is special construction which gives both thematic and focal prominence to a particular element of the clause. It is so called because it divides a single clause into two separate sections, each with its own verb. Most cleft sentences begin with the pronoun "It" followed by the verb "be", which in turn is followed by the element on which the focus falls. From a single clause such as "*John wore his best suit to the dance last night*", it is possible to derive four cleft sentences, each highlighting a particular element of the clause:

**S as Focus:**

- It was JOHN who wore his best suit to the DANCE last night.

**Oa as Focus:**

- It was his best SUIT (that) John wore to the DANCE last night.

### **A<sub>time</sub> as Focus:**

- It was last NIGHT (that) John wore his best suit to the DANCE.

### **A<sub>place</sub> as Focus:**

- It was to the DANCE that John wore his best suit last night.

## **Other types of concord**

### **Subject-complement and object complement concord**

Between subject and subject complement and between direct object and object complement, there is usually concord of number (but not of person):

- My child is an angel.
- I consider my child an angel.
- My children are angels.
- I consider my children angels.

This type of concord arises naturally from the semantic role of the two complements or the denotative equivalence in the intensive relationship. There are, however, exceptions:

- My only hope for the future is my children. [also are] [1]
- More nurses is the next item on the agenda. [also are] [2]
- Their principal crop is potatoes. [3]
- That man is nuts. (slang) ['insane'] [4]
- Good manners are a rarity these days. [also is] [5]
- The younger children are a problem. [6]
- Dogs are good company. [7]

The complement in [1] seems condensed, with perhaps an implied preposition: *My only hope for the future is in my children*. The subject of [2] may similarly be analyzed as condensed (something like '*the question of more nurses*') or may perhaps be treated as a title. In [3] the subject complement is a generic noun phrase, which might equally be singular: *Their principal crop is the potato*. Sentences [4-7] contain a subject complement which, although nominal in form, has a characterizing function closer to that of an adjective. There is often no singular/plural contrast; for example, we 'do not have \*The houses are bricks, only The houses are brick.

The complements in [1], [2] and [3] are identifying as is shown by the subject-complement reversal:

- My children are my only hope for the future.
- The next item on the agenda is more nurses.
- Potatoes are their principal crop.

## **Subject- Object Concord**

Concord of number, person, and gender is necessary between subject on the one hand, and object or complement on the other hand, if the second element is a reflexive pronoun.

- He injured himself in both legs.
- She bought herself a raincoat.
- I haven't been myself for weeks. ['I haven't felt well.']
- They found themselves a new apartment.

The same concord relation holds when the reflexive pronoun occurs in other functions (e.g. as prepositional complement), or when the emphatic genitive *his own*, etc is used:

- She's making a sweater for herself.
- I wrote to them about myself.
- They're ruining their own chances.

## Pronoun Concord

The agreement between a pronoun and its antecedent should probably be considered coreference rather than grammatical concord. Personal and possessive pronouns in the 1<sup>st</sup> and 3<sup>rd</sup> persons agree with their antecedents in number. Those in the 3<sup>rd</sup> person singular (*he*, *she*, *it*) also agree with their antecedents in gender:

- Tom hurt his foot.
- Beatrice knows that she is late.
- The books were too heavy, so I left them.

The violation of concord in the case of nonreflexive pronouns does not lead (as it does in the case of reflexive pronouns) to an unacceptable sentence, but to a different interpretation. Compare the following pair of sentences:

- John searched his room.
- John searched her room.

The pronoun *they* is commonly used as a 3<sup>rd</sup> person singular pronoun that is neutral between masculine and feminine. It is a convenient means of avoiding the dilemma of whether to use the *he* or *she* form. At one time restricted to informal usage, it is now increasingly accepted even in formal usage, especially in AmE. Many prefer to seek gender impartiality by using a plural form where possible in reference to the indefinite pronouns *everyone*, *everybody*, *someone*, *somebody*, *anyone*, *anybody*, *no one*, *nobody*:

- *Everyone* thinks *they* have the answer.
- Has *anybody* brought *their* camera?

- *No one* could have blamed *themselves* for that.

The use of the plural pronouns *they, their, themselves* in the above sentences is frowned upon in formal English, where the tendency to use the masculine pronouns when the sex of the antecedent is not determined. The formal equivalents of the above sentences are therefore:

- Everyone thinks he has the answer.
- Has anybody brought his camera?
- No one could have blamed himself for that.

A similar use of the plural occurs with coordinate subjects referring to both sexes, as in: *Either he or she is going to have to change their attitude*, and with a singular noun phrase subject having a personal noun of indeterminate gender as head, as in: *Every student has to hand in their paper today*.

A more cumbersome alternative is the conjoining of both masculine and feminine pronouns: *Every student has to hand in his or her paper today*.

This device is particularly clumsy if the pronouns have to be repeated: If *a student* does not hand in *his or her* paper today, *he or she* will not be allowed to continue the course.

One way of avoiding the dilemma is to make the subject plural: *All students have to hand in their paper today*.

Similar methods can usually be employed for the indefinite pronouns too:

- *All of them* think *they* have the answer.
- Have *any of you* brought *your* camera?

## Vocatives

A vocative is an optional element, usually a noun phrase, denoting the one or more persons to whom the sentence is addressed. It is either a **CALL**, drawing the attention of the person or persons addressed, singling them out from others in hearing, as in [1], or an **ADDRESS**, expressing the speaker's relationship or attitude to the person or persons addressed, as in [2] and [3]:

- John, George's ready. [voc S V C] ' [1]
- And that, my students, concludes my lecture. [voc S V O<sub>d</sub>] [2]
- My tooth is aching, doctor. [S V voc] [3]

The above sentences show that a vocative may take initial, medial, or final position in the sentence; in its optionality and freedom of position, it is more like an adverbial than any other element of clause structure.

Intonationally, the vocative is set off from the rest of the clause either by constituting a separate tone unit or by forming the tail of a tone unit. The most characteristic intonations are shown above: fall-rise for an initial vocative functioning as a call, and otherwise rise; rise for a vocative functioning as an address.

## Forms of vocatives

Vocatives may be:

1. Names: first name, last name, full name, with or without a title, or a nickname or pet name: David, Caldwell, Sarah Peterson, Mrs. Johnson, Dr. Turner, Ginger.
2. Standard appellatives, usually without modification. These include:
  - Kinship terms and terms for family relationships (sometimes with initial capitals): mother, father, son, uncle, aunt, grandfather, grandmother; or more familiar forms like mom(my) (AmE), mum(my) (BrE), dad(dy), auntie, granny, grandma, grandpa.
  - Titles of respect (sometimes with initial capitals for your): madam, ma'am (esp AmE), sir, my Lord, your Honour, your Excellency, your Majesty, your Ladyship.
  - Markers of status (sometimes with initial capitals even for those not so marked here): Mr. President, Prime Minister, Father [for priest], Sister [for a nun], Bishop, professor, doctor, general, major, vicar.
3. Terms for occupations: waiter, driver, cabbie (informal), barmaid (BrE), bartender (AmE), attendant, conductor, nurse, officer [for a member of the police force].
4. Epithets (noun or adjective phrases) expressing an evaluation:
  - (i) Favorable (some also preceded by my): (my) darling, (my) dear, (my) dearest, (my) love, honey (esp AmE), (my) friend, handsome, beautiful, my) sweetie-pie (esp AmE).
  - (ii) Unfavorable (also preceded by you in noun phrases); bastard, coward, fatty, idiot, imbecile, liar, pig, rotter (BrE), skinny, slowcoach (BrE), slowpoke (ArnE), stupid, swine.
5. Nominal Clauses: Whoever's phone rang, go out of my class.

## Negation

### Non-assertive forms

There are four types of contexts that require the use of the non-assertive forms:

1. The negatives: not, never, no, neither, nor.
  - He is not a friend of mine.
  - I have never been to England.
  - No dogs are permitted here.



- Not many people come to the party.  
Implies the presence of few people.

In formal style, the negative element may be moved out of its usual position to the initial position to give it a focal or thematic prominence, in which case subject-operator inversion is often required.

- Not a word would he say.
- Not a moment did she waste.
- Not one bottle did we leave behind.
- No longer are they staying with us.
- Never will I make that mistake again.

### More than one nonassertive item

If a sentence contains a negative element, it is usually negative from that point onward. Nonassertive items must normally be used after the negative element in place of *every* assertive item that would have occurred in the corresponding positive clause:

- I doubt he has ever owed *anything* to *anyone*.
- I've never travelled *anywhere* by sea *yet*.

Notice that negative items (normally only one) must always precede the nonassertive items, as in this series of corresponding clauses:

- I haven't *ever* owed *anything* to *anyone*.
- I don't lend *any* book to *any* of my students at *anytime*.
- I lend no book to *any* of my students at *anytime*.

Occasionally two negatives occurring in the same clause mean positive:

- I can't not to talk to you. (I have to talk to you)
- I can't not to love you. (I have to love you)
- Not many people have nowhere to live. (Most people have somewhere to live)

### Words negative in meaning but not in form

There are several adverbs and determiners which are negative in meaning but not in form. They include: *seldom*, *rare(y scarcely, hardly, barely little, few* (in contrast to the positive *a little* and *a few*).

These can effect clause negation: for example they are followed by nonassertive forms, and sentences in which they appear generally require a positive tag question:

- Hardly *anyone* wants the job.
- Few changes have *ever* taken so many people by surprise.

- They scarcely seem to care, *do they?*
- They hardly have *any* friends, *do they?*

As with other initial negative adverbials, the adverbs normally cause subject-operator inversion when they are positioned initially as adverbials or as modifiers within an adverbial in literary and oratorical style:

- *Little* did I expect such enthusiasm from so many.
- *Scarcely ever* has the Iraqi national team suffered so much humiliation.

In addition, verbs, adjectives, and prepositions with negative meaning may be followed by nonassertive items, particularly *any* and its compounds:

- She avoided *ever* speaking to us.
- We are *unaware* of *any* hostility.
- They were *unwilling ever* to accept our help.
- I'm *against* going out *anywhere* tonight.
- They decided to leave *without* telling *any* of their friends.
- He *denies* I *ever* told him.
- *I forgot* to ask for *any* change.

## Scope of negation

A negative item may be said to govern (or determine the occurrence of) a nonassertive only if the latter is within the SCOPE of the negative, *i.e.* within the stretch of language over which the negative item has a semantic influence. The scope of the negation normally extends from the negative item itself to the end of the clause, but it need not include an end-placed adverbial. In a clause with the clause negator *not* or a negative word such as *never* or *hardly* in the same position after the operator, adverbials occurring before the negative normally lie outside the scope. There is thus a contrast between:

- She definitely *didn't speak to him*.  
['It's definite that she didn't speak to him.']
- She *didn't definitely speak to him*.  
['It's not definite that she spoke to him.']

When an adverbial is final, it may or may not lie outside the scope:

- I *wasn't listening* all the **TIME**. [1] (I listened none of the time)
- I *wasn't listening* **All the time**. [2] (I listened some of the time only)

The difference of scope, which is here marked by intonation, reflects an important difference of meaning: [1] means 'For the whole time, I wasn't listening', whereas [2] means 'It is not true that I was listening all the time'.

If an assertive word is used, it must lie outside the scope of negation; therefore [3] and [4] below are parallel to [1] and [2] above:

- I *didn't listen* to some of the speakers. [3]  
['There were some of the speakers that I didn't listen to.']
- I *didn't listen to any of the speakers*. [4]  
['There were not any speakers that I listened to.']

The scope can sometimes extend into a subordinate clause:

- I *wouldn't like you to disturb anyone*.

## Negation of modal auxiliaries

The scope of negation may or may not include the meaning of the modal auxiliaries. We therefore distinguish between **AUXILIARY NEGATION** and **MAIN VERB NEGATION**. The contrast is shown in the two following sentences with *may not*, where the paraphrases indicate the scope of negation:

### **AUXILIARY NEGATION: (Permission)**

- You *may not smoke in here*. ['You are not allowed to smoke in here.']

### **MAIN VERB NEGATION: (Possibility)**

- They may *not like the party*. ['It is possible that they do not like the party.']

## Sentence types and discourse functions

Simple sentences may be divided into four major syntactic types differentiated by their form. Their use correlates largely with different discourse functions:

1. Declaratives are sentences in which the subject is present and generally precedes the verb:
  - Pauline gave Tom a digital watch for his birthday.
2. Interrogatives are sentences which are formally marked in one of three ways:



If there is no item in the verb phrase that can function as operator, DO is introduced, as with negation:

- They live in Sydney. Do they live in Sydney?
- He likes driving. Does he like driving?

Again as with negation, main verb BE functions as operator; in BrE main verb HAVE often acts as operator, but informally HAVE . . . *got* is more common:

- She has a cold.
- Does she have a cold? (AmE)
- Has she (got) a cold? (BrE)

By placing the nuclear stress in a particular part of a *yes-no* question, we are able to focus the interrogation on a particular item of information which, unlike the rest of the sentence, is assumed to be unknown. Thus the focus falls in different places in the following otherwise identical questions:

- Was he a famous actor in THOSE days? [I know he was once a famous actor - but was it then or later?]
- Was he a FAMOUS actor in those days? [I know he was an actor in those days - but was he a famous one?]

## Positive Orientation

Like negative statements, yes-no questions may contain nonassertive forms such as *any* and *ever*. The question containing such forms is generally neutral, with no bias in expectation towards a positive or negative response.

- The boat has left already. Has the boat left yet?
- I live somewhere near London. Do you live anywhere near London?

But questions may be CONDUCTIVE, i.e. they may indicate that the speaker is predisposed to the kind of answer he has wanted or expected. Thus, a positive question may be presented in a form which is biased towards a positive answer. It has positive orientation, for example, if it uses assertive forms rather than the usual nonassertive forms:

- Did someone call last night? [Is it true that someone called last night?]
- Has the boat left already?
- Do you live somewhere near Dover?

These questions indicate that the speaker presupposes that the answer is yes: he merely asks for confirmation of his presupposition or assumption.

## Negative Orientation

Negative orientation is found in questions which contain a negative form of one kind or another:

- Don't you believe me?
- Have they never invited you home?
- Aren't you joining us this evening?
- Has nobody called?

Negative orientation is complicated by an element of surprise or disbelief. The implication is that the speaker had originally hoped for a positive response, but new evidence now suggests that the response will be negative. Thus, *Hasn't he told you what to do?* Means: *'Surely he has told you what to do, hasn't he? I would have thought that he had told you.'* Here there is a combining of a positive and a negative attitude, which one may distinguish as the OLD EXPECTATION (positive) and NEW EXPECTATION (negative). Because the old expectation tends to be identified with the speaker's hopes or wishes, negatively orientated questions often express disappointment or annoyance:

- Can't you keep silent for some minutes? [I'd have thought you'd be able to, but apparently you can't]
- Isn't he eligible for application? [I'd have thought he is eligible, but apparently he is not]

## Tag questions

The general rules for forming the most common types of tag question are:

- (a) The tag question consists of operator and subject in that order, enclitic n't, if present, is attached to the operator. In formal English the negative particle is placed after the pronoun: did they not? is she not? That position is usual in informal English in Northern BrE dialects.
- (b) The operator is generally the same as the operator of the preceding statement.

- I haven't seen you before, have I?

If the statement has no operator, the dummy auxiliary **DO** is used, as for yes-no questions in general.

- She knows you, doesn't she?
- (c) The subject of the tag must be a pronoun which either repeats, or is in coreference with, the subject of the statement, agreeing with it in number, person, and gender.
  - (d) If the statement is positive, the tag is generally negative, and vice versa.
  - (e) The nuclear tone of the tag occurs on the auxiliary, and is either rising or falling.

Four main types of tag question emerge from the observance of these rules:

1. POSITIVE + NBGATIVE WITH A RISING TONE

- He likes football, doesn't he?
- 2. POSITIVE + NBGATIVE WITH A FALLING TONE
  - He likes football, doesn't he?
- 3. NEGATIVE + POSITIVE WITH A RISING TONE
  - He doesn't like his job, does he?
- 4. NEGATIVE + POSITIVE WITH A FALLING TONE
  - He doesn't like his job, does he?

The meanings of these sentences, like their forms, involve a statement and a question; each of them asserts something, and then invites the listener's response to it. Sentence (1), for example, can be rendered 'I assume he likes football; am I right?', (3) means the opposite: 'I assume he doesn't like his job; am I right? Clearly these sentences have a positive and a negative orientation respectively. A similar contrast exists between (2) and (4). But it is important, again, to separate two factors: an ASSUMPTION (expressed by the statement) and an EXPECTATION (expressed by the question). On this principle, we may distinguish the four types as:

1. Positive Assumption + Neutral Expectation + S – T
2. Negative Assumption + Neutral Expectation – S + T
3. Positive Assumption + Positive Expectation + S – T
4. Negative Assumption + Negative Expectation – S – T

The tag with a rising tone invites verification, expecting the hearer to decide the truth of the proposition in the statement. The tag with the falling tone, on the other hand, invites confirmation of the statement, and has the force of an exclamation rather than a genuine question. In this respect, types (3) and (4) are like (though not as emphatic as) exclamatory yes-no questions with a falling tone. Compare, for example:

- Isn't it wonderful weather! With It's wonderful weather, *isn't* it?  
and
- Wasn't she Angry! With She was Angry, wasn't she?

### **Invariant tag questions**

Several other tag questions inviting the listener's response may be appended to statements and exclamations. They have the same form whether the statement is positive or negative, and take a rising tone:

- They forgot to attend the lecture, am I right?
- They didn't forget to attend the lecture, don't they?

### **Declarative Questions**

Not all yes-no questions have subject-operator inversion. The declarative question is a type of question which is identical in form to a declarative, except for the final rising question intonation. It is rather casual in tone:

- You realize what the Consequences are?
- You didn't do your Assignment?

Declarative questions are conducive, and resemble tag questions with a rising tone in that they invite the hearer's verification. Positive questions have positive orientation, and can therefore accept only assertive forms:

- He wants something to eat?
- Somebody is with you?

## Wh-questions

Wh-questions are formed with the aid of one of the following simple interrogative words (or wh-words):

Who/whom/whose, what, which, when, where, how, why

Unlike yes/no questions, wh-questions generally have falling intonation. As a rule:

1. The wh-element comes first in the sentence.
2. The wh-word itself takes first position in the wh-element.

The only exception to the second principle occurs when the wh-word is within a prepositional complement. Here English provides a choice between two constructions, one being formal. In formal style, the preposition precedes the complement, whereas otherwise the complement comes first and the preposition is deferred to the end of the sentence:

- To whom shall I tell my sorrow? Whom shall I tell my sorrow to?
- For which candidate did you vote? Which candidate did you vote for?

## Functions of wh-element

The following sentences exemplify the various clause functions in which the wh-element operates:

- Who is that woman? [subject]
- Which documents have you uploaded? [Od]
- Whose beautiful daughter is this? [Cs]
- How wide did they make the Bookcase?[C<sub>0</sub>]
- When will you be promoted? [A ]
- Where shall I put the glasses.[A ]
- Why are they always complaining?[A]
- How did you MEND it? [A ]
- How much does he CARE?[ A]
- How long have you been waiting?[A ]
- How often do you visit New YORK[A ]