## Ketones and Aldehydes

## Carbonyl Compounds



Ketone: Two alkyl groups bonded to a carbonyl group.
Aldehyde: One alkyl group and one hydrogen bonded to a carbonyl group.

## TABLE 18-1 Some Common Classes of Carbonyl Compounds

| Class | General Formula | Class | General Formula |
| :---: | :---: | :---: | :---: |
| ketones |  | aldehydes |  |
| carboxylic acids |  | acid chlorides |  |
| esters |  | amides |  |

## Structure of the

 Carbonyl Group

## Nomenclature

of Ketones and IUPAC Names Systematic names of ketones are derived by replacing the final $-e$ in Aldehydes the alkane name with -one. The "alkane" name becomes "alkanone." In open-chain ketones, we number the longest chain that includes the carbonyl carbon from the end closest to the carbonyl group, and we indicate the position of the carbonyl group by a number. In cyclic ketones, the carbonyl carbon atom is assigned the number 1 .


2-butanone
butan-2-one


2,4-dimethyl-3-pentanone
2,4-dimethylpentan-3-one


1-phenyl-1-propanone
1-phenylpropan-1-one


3-methylcyclopentanone


2-cyclohexenone cyclohex-2-en-1-one


4-hydroxy-4-methyl-2-pentanone 4-hydroxy-4-methylpentan-2-one

Systematic names for aldehydes are derived by replacing the final $-e$ of the alkane name with -al. An aldehyde carbon is at the end of a chain, so it is number 1 . If the aldehyde group is attached to a large unit (usually a ring), the suffix carbaldehyde is used.

ethanal


4-bromo-3-methy lheptanal



2-hydroxycyclopentane-1-carbaldehyde

2-pentenal pent-2-enal

cyclohexanecarbaldehyde

A ketone or aldehyde group can also be named as a substituent on a molecule with another functional group as its root. The ketone carbonyl is designated by the prefix oxo-, and the - CHO group is named as a formyl group. Carboxylic acids frequently contain ketone or aldehyde groups named as substituents.




2-formylbenzoic acid
Common Names As with other classes of compounds, ketones and aldehydes are often called by common names instead of their systematic IUPAC names. Ketone common names are formed by naming the two alkyl groups bonded to the carbonyl group. Substituent locations are given using Greek letters, beginning with the carbon next to the carbonyl group.

methyl ethyl ketone


$\beta$-bromoethyl isopropyl ketone

$t$-butyl $\alpha$-methoxypropyl ketone

Some ketones have historical common names. Dimethyl ketone is always called acetone, and alkyl phenyl ketones are usually named as the acyl group followed by the suffix -phenone.

acetone

acetophenone

propiophe none

benzophe none

Common names of aldehydes are derived from the common names of carboxylic acids (Table 18-2). These names often reflect the Latin or Greek term for the original source of the acid or the aldehyde. Greek letters are used with common names of aldehydes to give the locations of substituents. The first letter $(\alpha)$ is given to the carbon atom next to the carbonyl group.

$\beta$-bromobutyralde hyde
3-bromobutanal

$\alpha$-me thoxypropional de hyde
2-methoxypropanal

## TABLE 18-2 Common Names of Aldehydes

Carboxylic Acid

formic acid




benzoic acid
butyric acid

Derivation
formica, "ants"
acetum, "sour"
protos pion, "first fat"
butyrum, "butter"
gum benzoin, "blending"

Aldehyde

formaldehyde
(methanal)




benzaldehyde

## PROBLEM 18-1

Give the IUPAC name and (if possible) a common name for each compound.
(a)

(b)

(c)

(d)


## Physical Properties of Ketones and Aldehydes








## Industrial Importance of Ketones and Aldehydes

TABLE 18-4 Ketones and Aldehydes Used in Household Products

Odor: buttery | margarine, foods |
| :---: |
| Uses: |
| Odor: |

## Review of Syntheses

 of Ketones
## Ketones and Aldehydes from Oxidation of Alcohols

 and Aldehydes

borneol

camphor
(88\%)

Primary alcohols $\rightarrow$ aldehydes


Oxidation of a primary alcohol to an aldehyde requires careful selection of an oxidizing agent to avoid over-oxidation to the carboxylic acid. Pyridinium chlorochromate ( PCC ), a complex of chromium trioxide with pyridine and HCl , provides good yields of aldehydes without over-oxidation.


## Ketones and Aldehydes from Ozonolysis of Alkenes



## Phenyl Ketones and Aldehydes: Friedel-Crafts Acylation




The Gatterman-Koch synthesis is a variant of the Friedel-Crafts acylation in which carbon monoxide and HCl generate an intermediate that reacts like formyl chloride. Like Friedel-Crafts reactions, the Gatterman-Koch formylation succeeds only with benzene and activated benzene derivatives.

'D Ketones and Aldehydes from Hydration of Alkynes

## Catalyzed by Acid and Mercuric Salts



## Example


(90\%)
Hydroboration-Oxidation of Alkynes Hydroboration-oxidation of an alkyne gives anti-Markovnikov addition of water across the triple bond. Di (secondary isoamyl)borane, called disiamylborane, is used, since this bulky borane cannot add twice across the triple bond. On oxidation of the borane, the unstable enol quickly tautomerizes to an aldehyde.

alkyne

enol (not isolated)

aldehyde

ethynylcyclohexane
$\xrightarrow[\text { (2) } \mathrm{H}_{2} \mathrm{O}_{2}, \mathrm{NaOH}]{\text { (1) } \mathrm{Sia}_{2} \mathrm{BH}}$

cyclohexylethanal (65\%)

## SOLVED PROBLEM 18-1

Show how you would synthesize each compound from starting materials containing no more than six carbon atoms.
(a)

(b)


## SOLUTION

(a) This compound is a ketone with 12 carbon atoms. The carbon skeleton might be assembled from two six-carbon fragments using a Grignard reaction, which gives an alcohol that is easily oxidized to the target compound.


An alternative route to the target compound involves Friedel-Crafts acylation.

(b) This compound is an aldehyde with eight carbon atoms. An aldehyde might come from oxidation of an alcohol (possibly a Grignard product) or hydroboration of an alkyne. If we use a Grignard, the restriction to six-carbon starting materials means we need to add two carbons to a methylcyclopentyl fragment, ending in a primary alcohol. Grignard addition to an epoxide does this.
target (b)




Alternatively, we could construct the carbon skeleton using acetylene as the two-carbon fragment. The resulting terminal alkyne undergoes hydroboration to the correct aldehyde.
target (b)



PROBLEM 18-6
Show how you would synthesize each compound from starting materials containing no more than six carbon atoms.
(a)

(b)

(c)


## Synthesis of Ketones from Carboxylic Acids


dianion
hydrate
ketone


## PROBLEM 18-8

Predict the products of the following reactions.
(a)

$\xrightarrow[\text { (2) } \mathrm{H}_{3} \mathrm{O}^{+}]{\text {(1) excess } \mathrm{CH}_{3} \mathrm{Li}}$
(b) $\mathrm{CH}_{3} \mathrm{COOH}$
(1) 2

(c) $\mathrm{CH}_{3}\left(\mathrm{CH}_{2}\right)_{3} \mathrm{COOH} \xrightarrow[\text { (2) } \mathrm{H}_{3} \mathrm{O}^{+}]{\text {(1) excess } \mathrm{CH}_{3} \mathrm{CH}_{2} \mathrm{Li}}$

## Synthesis of Ketones from Nitriles



Example



## PROBLEM 18-9

Predict the products of the following reactions.
(a) $\mathrm{CH}_{3} \mathrm{CH}_{2} \mathrm{CH}_{2} \mathrm{CH}_{2}-\mathrm{C} \equiv \mathrm{N}+\mathrm{CH}_{3} \mathrm{CH}_{2}-\mathrm{MgBr}$, then $\mathrm{H}_{3} \mathrm{O}^{+}$
(b) benzyl bromide + sodium cyanide
(c) product of (b) + cyclopentylmagnesium bromide, then acidic hydrolysis

## PROBLEM 18-10

Show how the following transformations may be accomplished in good yield. You may use any additional reagents that are needed.
(a) bromobenzene $\rightarrow$ propiophenone
(b) $\mathrm{CH}_{3} \mathrm{CH}_{2} \mathrm{CN} \rightarrow$ 3-heptanone
(c) pentanoic acid $\rightarrow$ 3-heptanone
(d) toluene $\rightarrow$ benzyl cyclopentyl ketone

## Synthesis of Aldehydes and Ketones from Acid Chlorides








Example


Synthesis of Ketones

acid chloride

alkoxide

To stop at the ketone stage, a weaker organometallic reagent is needed: one that reacts faster with acid chlorides than with ketones. A lithium dialkylcuprate (Gilman reagent) is such a reagent.

a lithium dialkylcuprate

$$
2 \mathrm{R}-\mathrm{Li}+\mathrm{CuI} \longrightarrow \mathrm{R}_{2} \mathrm{CuLi}+\mathrm{LiI}
$$

Example




80\%

## PROBLEM 18-11

Predict the products of the following reactions:

(d)


## Reactions of Ketones and Aldehydes: Nucleophilic Addition








In most cases, aldehydes are more reactive than ketones toward nucleophilic additions. They usually react more quickly than ketones, and the position of the equilibrium usually lies more toward the products than with ketones.


## PROBLEM 18-12

Show how you would accomplish the following synthetic conversions. You may use any additional reagents and solvents you need.
(a)

(b)

(c)

(d) $\mathrm{Ph}-\mathrm{CHO}$



## PROBLEM 18-13

Sodium triacetoxyborohydride, $\mathrm{Na}\left(\mathrm{CH}_{3} \mathrm{COO}\right)_{3} \mathrm{BH}$, is a mild reducing agent that reduces aldehydes much more quickly than ketones. It can be used to reduce aldehydes in the presence of ketones, such as in the following reaction:

(a) Draw a complete Lewis structure for sodium triacetoxyborohydride.
(b) Propose a mechanism for the reduction of an aldehyde by sodium triacetoxyborohydride.

Basic Conditions (strong nucleophile)
Step 1: A strong nucleophile adds to the carbonyl group to form an alkoxide.


Step 2: A weak acid protonates the alkoxide to give the addition product.


## EXAMPLE: Formation of a Cyanohydrin (covered in Section 18-15)

Step 1: A strong nucleophile adds to the carbonyl group to form an alkoxide.


Step 2: A weak acid protonates the alkoxide to give the addition product.


Reverse reaction: Deprotonation, followed by loss of the nucleophile.
Reverse reaction:


PROBLEM: The cyanohydrin formation used in the example is reversible. Draw a mechanism for the reverse reaction.

Acidic Conditions (weak nucleophile, activated carbonyl)
Step 1: Protonation activates the carbonyl group toward nucleophilic attack.


Step 2: A weak nucleophile adds to the activated (protonated) carbonyl group.


EXAMPLE: Formation of a Hemiacetal (covered in Section 18-18)
Step 1: Protonation activates the carbonyl group toward nucleophilic attack.


Step 2: A weak nucleophile adds to the activated (protonated) carbonyl group. Deprotonation of the product gives the hemiacetal.


Reverse reaction: Loss of the weak nucleophile, followed by deprotonation.
Reverse reaction:


PROBLEM: The hemiacetal formation used in the example is reversible. Draw a mechanism for the reverse reaction.

## The Wittig Reaction

## The Wittig reaction




Examples



## PROBLEM 18-14

Trimethylphosphine is much less expensive than triphenylphosphine. Why is trimethylphosphine unsuitable for making most phosphorus ylides?

## MECHANISM 18-2

## The Wittig Reaction

Step 1: The ylide attacks the carbonyl to form a betaine.


Step 2: The betaine closes to a four-membered ring oxaphosphetane (first $\mathrm{P}-\mathrm{O}$ bond formed).


Step 3: The ring collapses to the products (second $\mathrm{P}-\mathrm{O}$ bond formed).


The following examples show the formation of carbon-carbon double bonds using the Wittig reaction. Mixtures of cis and trans isomers often result when geometric isomerism is possible.



## PROBLEM 18-15

Like other strong nucleophiles, triphenylphosphine attacks and opens epoxides. The initial product (a betaine) quickly cyclizes to an oxaphosphetane that collapses to an alkene and triphenylphosphine oxide.
(a) Show each step in the reaction of trans-2,3-epoxybutane with triphenylphosphine to give 2 -butene. What is the stereochemistry of the double bond in the product?
(b) Show how this reaction might be used to convert cis-cyclooctene to trans-cyclooctene.

Planning a Wittig Synthesis
Analysis





## SOLVED PROBLEM 18-2

Show how you would use a Wittig reaction to synthesize 1-phenyl-1,3-butadiene.


1-phenyl-1,3-butadiene

## SOLUTION

This molecule has two double bonds that might be formed by Wittig reactions. The central double bond could be formed in either of two ways. Both of these syntheses will probably work, and both will produce a mixture of cis and trans isomers.


You should complete this solution by drawing out the syntheses indicated by this analysis (Problem 18-16).

## PROBLEM 18-16

(a) Outline the syntheses indicated in Solved Problem 18-2, beginning with aldehydes and alkyl halides.
(b) Both of these syntheses of 1-phenyl-1,3-butadiene form the central double bond. Show how you would synthesize this target molecule by forming the terminal double bond.

## PROBLEM 18-17

Show how Wittig reactions might be used to synthesize the following compounds. In each case, start with an alkyl halide and a ketone or an aldehyde.
(a) $\mathrm{Ph}-\mathrm{CH}=\mathrm{C}\left(\mathrm{CH}_{3}\right)_{2}$
(b) $\mathrm{Ph}-\mathrm{C}\left(\mathrm{CH}_{3}\right)=\mathrm{CH}_{2}$
(c) $\mathrm{Ph}-\mathrm{CH}=\mathrm{CH}-\mathrm{CH}=\mathrm{CH}-\mathrm{Ph}$
(d)


## Hydration of Ketones and Aldehydes



Example


## In acid

The acid-catalyzed hydration is a typical acid-catalyzed addition to the carbonyl group. Protonation, followed by addition of water, gives a protonated product. Deprotonation gives the hydrate.
Step 1: Protonation. Step 2: Water adds. Step 3: Deprotonation.





In base
The base-catalyzed hydration is a perfect example of base-catalyzed addition to a carbonyl group. The strong nucleophile adds, then protonation gives the hydrate.
Step 1: Hydroxide adds.
Step 2: Protonation.

$\rightleftarrows$




The body rapidly reduces chloral (trichloroacetaldehye) to trichloroethanol, which is responsible for the drug's sleep-inducing effect.

PROBLEM-SOLVING
Don't be surprised to see some $\mathrm{O}-\mathrm{H}$ stretch, from the hydrate, in the IR spectra of many aldehydes.

PROBLEM 18-18
Propose mechanisms for
(a) The acid-catalyzed hydration of chloral to form chloral hydrate.
(b) The base-catalyzed hydration of acetone to form acetone hydrate.

PROBLEM 18-19
Rank the following compounds in order of increasing amount of hydrate present at equilibrium.






## Formation of Cyanohydrins

$$
\mathrm{H}-\mathrm{C} \equiv \mathrm{~N}:+\mathrm{H}_{2} \mathrm{O} \longleftrightarrow \mathrm{H}_{3} \mathrm{O}^{+}+{ }^{-}: \mathrm{C} \equiv \mathrm{~N}: \quad \mathrm{p} K_{\mathrm{a}}=9.2
$$

## MECHANISM 18-4

## Formation of Cyanohydrins

Cyanohydrin formation is a perfect example of base-catalyzed addition to a carbonyl group. The strong nucleophile adds in the first step to give an alkoxide. Protonation gives the cyanohydrin.

Step 1: Cyanide adds to the carbonyl.

ketone or aldehyde

Step 2: Protonation gives the cyanohydrin.

intermediate

cyanohydrin

## EXAMPLE: Formation of benzaldehyde cyanohydrin.

Step 1: Cyanide adds to the carbonyl.

benzaldehyde

Step 2: Protonation gives the cyanohydrin.





## PROBLEM 18-20

Propose a mechanism for each cyanohydrin synthesis just shown.


## PROBLEM 18-21

Show how you would accomplish the following syntheses.
(a) acetophenone $\rightarrow$ acetophenone cyanohydrin
(b) 1-hexanol $\rightarrow$ hexanal cyanohydrin
(c)


## Formation of Imines



## KEY MECHANISM 18-5 Formation of Imines

This mechanism is more easily remembered by dividing it into two parts: ${ }^{1}$

1. Acid-catalyzed addition of the amine to the carbonyl group.
2. Acid-catalyzed dehydration.

First part: Acid-catalyzed addition of the amine to the carbonyl group.


## PROBLEM 18-25

Propose a mechanism for the hydrolysis of benzaldehyde methyl imine just shown.

## Condensations

## with Hydroxylamine

 and Hydrazines

The following equations show some typical imine-forming reactions. In each case, notice that the $\mathrm{C}=\mathrm{O}$ group of the ketone or aldehyde is replaced by the $\mathrm{C}=\mathrm{N}-\mathrm{R}$ group of the imine.



benzaldehyde

## PROBLEM-SOLVING $/$ ill

Imine formation is one of the important mechanisms in this chapter. it is more easily remembered as consisting of two simple mechanisms:

1. acid-catalyzed nudeophilic
addition to the carbonyl, and
2. acid-cat alyzed dehydration (as with an alcohol).

## PROBLEM 18-22

Propose mechanisms for the three imine-forming reactions just shown.

## PROBLEM 18-23

Depending on the reaction conditions, two different imines of formula $\mathrm{C}_{8} \mathrm{H}_{9} \mathrm{~N}$ might be formed by the reaction of benzaldehyde with methylamine. Explain, and give the structures of the two imines.

## PROBLEM 18-24

Give the structures of the carbonyl compound and the amine used to form the following imines.
(a)

(b)

(c)

(d)


## PROBLEM 18-26

2,4-Dinitrophenylhydrazine is frequently used for making derivatives of ketones and aldehydes because the products (2,4-dinitrophenylhydrazones, called 2,4-DNP derivatives) are even more likely than the phenylhydrazones to be solids with sharp melting points. Propose a mechanism for the reaction of acetone with 2,4-dinitrophenylhydrazine in a mildly acidic solution.


Please learn these common derivatives. You will see many examples, especially in the laboratory.

## PROBLEM 18-27

Predict the products of the following reactions.
(a)

(b)

(c)

(d)


Second part: Acid-catalyzed dehydration.
Step 4: Protonation of the -OH group.
Step 5: Loss of $\mathrm{H}_{2}$
Step 6: Deprotonation.



intermediate (all octets filled)

$\rightleftarrows$

imine

## EXAMPLE: Formation of benzaldehyde methyl imine

First part: Acid-catalyzed addition of the amine to the carbonyl group.
Step 1: Protonation of the carbony1. Step 2: Addition of the amine. Step 3: Deprotonation.


Second part: Acid-catalyzed dehydration.
Step 4: Protonation of the -OH group. Step 5: Loss of $\mathrm{H}_{2}$
Step 6: Deprotonation.


## PROBLEM

(a) What would happen if the reaction were made too acidic by the addition of too much acid?
(b) What would happen if it were too basic?

## PROBLEM 18-28

Show what amines and carbonyl compounds combine to give the following derivatives.
(a)

(b)

(c)

(d)

(e)


## Formation of Acetals

In the formation of an acetal, two molecules of alcohol add to the carbonyl group, and one molecule of water is eliminated.




## KEY MECHANISM 18-6 Formation of Acetals

First part: Acid-catalyzed addition of the alcohol to the carbonyl group.


The second half begins like an acid-catalyzed dehydration
Step 4: Protonation.
Step 5: Loss of water.

hemucetal

protonation, loss of water

resonance-stabilized carbocation


Step 6: Second alcohol adds.



## PROBLEM 18-29

Propose a mechanism for the acid-catalyzed reaction of benzaldehyde with methanol to give benzaldehyde dimethyl acetal.

## Attempted base-catalyzed acetal formation



attack on ketone (or aldehyde)


## PROBLEM 18-30

Propose a mechanism for the acid-catalyzed hydrolysis of cyclohexanone dimethyl
acetal.

PROBLEM-SOLVING $/$ iNV)
Formation of an acetal (or hemiacetal) does not alter the oxidation state of the carbonyl carbon atom. In an acetal or hemiacetal, the carbonyl carbon atom is the one with two bonds to oxygen.

## Use of Acetals as Protecting Groups

## PROBLEM 18-31

Show what alcohols and carbonyl compounds give the following derivatives.
(a)

(b)

(c)

(d)

(e)

(f)


Proposed synthesis


target compound

## PROBLEM 18-34

Show how you would accomplish the following syntheses. You may use whatever additional reagents you need.
(a)

(c)

(e)

$\longrightarrow$

(b)

(d)

(f)


## Oxidation of Aldehydes



Examples




## PROBLEM 18-35

Predict the major products of the following reactions.
(a)

(b)

(c)

(d)


## Hydride Reductions (Review)

## Reductions of Ketones

 and Aldehydes


## Catalytic Hydrogenation



Deoxygenation of Ketones and Aldehydes
deoxygenation
$\mathrm{Za}(\mathrm{Hg}), \mathrm{HCl}$ or $\mathrm{H}_{2} \mathrm{NNH}_{2}, \mathrm{KOH}$



Clemmensen Reduction (Review) The Clemmensen reduction


## Wolff-Kishner Reduction



Examples



