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On the Cauchy Polynomials

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Abstract

We give special values to the parameters in Goldman–Rota q-binomial identity and its inverse to get some wellknown identities such as Cauchy identities, Euler identity and Goulden and Jackson identity. We show the equivalence between Goldman–Rota q-binomial identity and its inverse. Using the Cauchy operator, we give an operator proof for the Goldman–Rota q-binomial identity and the exchange property of the Cauchy polynomials.

Mathematics Subject Classification: 05A30, 33D45

Keywords: Cauchy polynomials, Goldman–Rota q-binomial identity, the Cauchy operator

1. Introduction

In this paper we will follow the standard notations on q-series in [3, 6] and we always assume that |q| < 1.

The q-shifted factorial is defined by:

$$(a;q)_k = \begin{cases} 1, & \text{if } k = 0, \\ (1-a)(1-aq)\cdots(1-aq^{k-1}), & \text{if } k = 1,2,3,\cdots. \end{cases}$$

We also define

$$(a;q)_{\infty} = \prod_{k=0}^{\infty} (1-aq^k).$$

We shall adopt the following notation of multiple q-shifted factorials:

$$(a_1, a_2, \cdots, a_m; q)_n = (a_1; q)_n (a_2; q)_n \cdots (a_m; q)_n$$

The q-binomial coefficient is defined by:

$$\begin{bmatrix} n \\ k \end{bmatrix} = \begin{cases} \frac{(q;q)_n}{(q;q)_k(q;q)_{n-k}}, & \text{if } 0 \leq k \leq n, \\ 0, & \text{otherwise.} \end{cases}$$
(1.1)

When $n \to \infty$ in (1.1), we get

$$\lim_{n \to \infty} \begin{bmatrix} n \\ k \end{bmatrix} = \frac{1}{(q;q)_k}.$$

The inverse pair is given by [8]:

$$a_n = \sum_{k=0}^n {n \brack k} b_k, \text{ for } n \ge 0,$$

$$b_n = \sum_{k=0}^n {n \brack k} (-1)^k q^{\binom{k}{2}} a_{n-k}, \text{ for } n \ge 0$$

One of the most wellknown identities in q-series is Cauchy identity

$$\sum_{n=0}^{\infty} \frac{(a;q)_n}{(q;q)_n} x^n = \frac{(ax;q)_{\infty}}{(x;q)_{\infty}}.$$
(1.2)

The Cauchy polynomials is defined by

$$P_n(x,y) = (x-y)(x-qy)(x-q^2y)\cdots(x-q^{n-1}y) = (y/x;q)_n x^n.$$
(1.3)

The homogeneous version of the Cauchy identity (the generating function of $P_n(x, y)$) is given by

$$\sum_{n=0}^{\infty} P_n(x,y) \frac{t^n}{(q;q)_n} = \frac{(yt;q)_{\infty}}{(xt;q)_{\infty}}.$$
 (1.4)

Setting y = 0 in (1.4), we get Euler's identity:

$$\sum_{n=0}^{\infty} \frac{(xt)^n}{(q;q)_n} = \frac{1}{(xt;q)_{\infty}}, \quad |xt| < 1.$$
(1.5)

The Cauchy polynomials $P_n(x, y)$ was studied by Andrews [1, 2], Goldman and Rota [7], Goulden and Jackson [8] and Roman [9].

In 1970, Goldman and Rota [7] have shown the q-binomial identity

$$P_{n}(x,y) = \sum_{k=0}^{n} {n \brack k} P_{k}(x,z) P_{n-k}(z,y).$$
(1.6)

Setting z = 0 in (1.6), one obtains the following identity:

$$P_n(x,y) = \sum_{k=0}^n {n \brack k} (-1)^k q^{\binom{k}{2}} y^k x^{n-k}.$$
 (1.7)

Goldman and Rota, by Möbius inversion, obtain the following identity:

$$P_n(x,y) = \sum_{k=0}^n {n \brack k} (-1)^k q^{\binom{k}{2}} P_k(y,1) P_{n-k}(x,q^k).$$
(1.8)

In 1983, Goulden and Jackson [8] gave the following exchange property of $P_n(x, y)$:

$$\sum_{k=0}^{n} {n \brack k} P_k(x,y) P_{n-k}(w,z) = \sum_{k=0}^{n} {n \brack k} P_k(x,z) P_{n-k}(w,y).$$
(1.9)

Setting w = z, the exchange property of $P_n(x, y)$ becomes the q-binomial identity (1.6). Also, they have found the following basic relations:

$$P_{n}(q^{n-1}y,x) = (-1)^{n}q^{\binom{n}{2}}P_{n}(x,y).$$

$$P_{k}(q^{n-1}y,q^{n-1}) = q^{(n-1)k}P_{k}(y,1).$$

$$P_{n-k}(q^{n-1},x) = (-1)^{n-k}q^{\binom{n}{2}+\binom{k}{2}+k-nk}P_{n-k}(x,q^{k}).$$

$$(1.10)$$

They used these relations to give a derivation of the inverse Goldman-Rota q-binomial identity (1.8) from Goldman-Rota q-binomial identity (1.6).

In 2003, Chen et al. [5] have found the following relations:

$$P_n(x,y) = (-1)^n q^{\binom{n}{2}} P_n(y,q^{1-n}x), \qquad (1.11)$$

$$P_{n-k}(x,q^{1-n}y) = (-1)^{n-k}q^{\binom{k}{2} - \binom{n}{2}}P_{n-k}(y,q^{k}x).$$
(1.12)

They used these relations to give a similar derivation of (1.8) from (1.6). Also, they introduced the homogeneous Rogers-Szegö polynomials defined by:

$$h_n(x, y|q) = \sum_{k=0}^n {n \brack k} P_k(x, y).$$
 (1.13)

In 2010, Saad and Sukhi [10] used (1.11) and (1.12) to derive (1.6) from (1.8). Also, they gave the following new formula for the homogeneous Rogers-Szegö polynomials $h_n(x, y|q)$:

$$h_n(x, y|q) = \sum_{k=0}^n {n \brack k} (y; q)_k x^{n-k}.$$
 (1.14)

This paper is organized as follows. In Section 2, we give special values to the parameters in Goldman–Rota q-binomial identity (1.6) and its inverse (1.8) to get some wellknown identities. In Section 3, we show the equivalence between Goldman–Rota q-binomial identity (1.6) and its inverse (1.8). Finally, in Section 4, we give an operator proof for the Goldman–Rota q-binomial identity (1.6) and the exchange property of the Cauchy polynomials (1.9).

2. Special Values

We give special values to the parameters in Goldman–Rota q-binomial identity (1.6) and its inverse (1.8) to get some wellknown identities.

• Setting
$$x \to \frac{1}{x}, y \to \frac{1}{y}$$
 and $z \to \frac{1}{z}$ in (1.6) to obtain

$$(x/y;q)_n = \sum_{k=0}^n {n \brack k} (x/z;q)_{n-k} (z/y;q)_k \left(\frac{x}{z}\right)^k. \tag{2.1}$$

When $n \to \infty$ in (2.1), we get

$$\frac{(x/y;q)_{\infty}}{(x/z;q)_{\infty}} = \sum_{k=0}^{\infty} \frac{(z/y;q)_k}{(q;q)_k} \left(\frac{x}{z}\right)^k$$
(2.2)

Setting $z/y \to a$ and $x/z \to x$ in (2.2), we get Cauchy identity (1.2).

• Setting y = 0 and $x \to 1/x$ in (1.8), we get

$$1 = \sum_{k=0}^{n} {n \brack k} q^{k^2 - k} (q^k x; q)_{n-k} x^k$$
$$= \sum_{k=0}^{n} {n \brack k} q^{k^2 - k} \frac{(x; q)_n}{(x; q)_k} x^k.$$
(2.3)

When $n \to \infty$ in (2.3), we get

$$\sum_{k=0}^{\infty} \frac{q^{k^2 - k} x^k}{(q, x; q)_k} = \frac{1}{(x; q)_{\infty}}.$$
(2.4)

Equation (2.4) is due to Cauchy.

• Setting x = 0 and then $y \to x$ in (1.8), we get

$$x^{n} = \sum_{k=0}^{n} {n \brack k} P_{k}(x,1).$$
 (2.5)

Identity (2.5) is due to Cauchy. Setting $x \to 1/x$ in (2.5), we get

$$1 = \sum_{k=0}^{n} {n \brack k} (x;q)_{n-k} x^{k}.$$
 (2.6)

When $n \to \infty$ in (2.6), we get Euler identity (1.5).

• Setting y = 1 in (1.13) and by using (2.5), we get

$$h_n(x,1|q) = x^n.$$
 (2.7)

By the inverse pair on (1.13), we get

$$P_n(x,y) = \sum_{k=0}^n {n \brack k} (-1)^k q^{\binom{k}{2}} h_{n-k}(x,y|q).$$
(2.8)

Setting y = 1 in (2.8) and by using (2.7), we get

$$\prod_{i=0}^{n-1} (x-q^i) = \sum_{k=0}^n {n \brack k} (-1)^k q^{\binom{k}{2}} x^{n-k}.$$
 (2.9)

Identity (2.9) is given by Goulden and Jackson [8].

3. The Goldman-Rota identities

In this section, we show the equivalence between Goldman–Rota q-binomial identity (1.6) and its inverse (1.8). We have found the following basic relations for the Cauchy polynomials $P_n(x, y)$ which are easy to verify:

$$P_k(q^{n-1}x, q^{n-1}y) = q^{(n-1)k} P_k(x, y).$$
(3.1)

$$P_{n-k}(q^{n-1}y,x) = (-1)^{n-k}q^{\binom{n}{2} + \binom{k}{2} + k - nk}P_{n-k}(x,q^{k}y).$$
(3.2)

From (3.1) and (3.2), we get the following relation:

$$P_{n-k}(1,x) = (-1)^{n-k} q^{-\binom{n}{2} + \binom{k}{2}} P_{n-k}(q^{n-1}x,q^k).$$
(3.3)

Theorem 3.1. We have

$$P_n(xy,1) = \sum_{k=0}^n {n \brack k} x^{n-k} P_k(x,1) P_{n-k}(y,1).$$
(3.4)

$$= \sum_{k=0}^{n} {n \brack k} y^{k} P_{k}(x,1) P_{n-k}(y,1).$$
(3.5)

Proof. By the homogeneous version of the Cauchy identity (1.4), we find

$$\frac{(t;q)_{\infty}}{(xyt;q)_{\infty}} = \frac{(t;q)_{\infty}}{(xt;q)_{\infty}} \frac{(xt;q)_{\infty}}{(xyt;q)_{\infty}}$$
$$\sum_{n=0}^{\infty} P_n(xy,1) \frac{t^n}{(q;q)_n} = \sum_{n=0}^{\infty} \frac{t^n}{(q;q)_n} \sum_{k=0}^n {n \brack k} P_k(x,1) P_{n-k}(xy,x)$$
$$= \sum_{n=0}^{\infty} \frac{t^n}{(q;q)_n} \sum_{k=0}^n {n \brack k} x^{n-k} P_k(x,1) P_{n-k}(y,1).$$

By comparing coefficients of t^n in the above equation, we get (3.4).

Again, by the homogeneous version of the Cauchy identity (1.4), we find

$$\frac{(t;q)_{\infty}}{(xyt;q)_{\infty}} = \frac{(t;q)_{\infty}}{(yt;q)_{\infty}} \frac{(yt;q)_{\infty}}{(xyt;q)_{\infty}}$$
$$\sum_{n=0}^{\infty} P_n(xy,1) \frac{t^n}{(q;q)_n} = \sum_{n=0}^{\infty} \frac{t^n}{(q;q)_n} \sum_{k=0}^n {n \brack k} P_k(y,1) P_{n-k}(xy,y)$$
$$= \sum_{n=0}^{\infty} \frac{t^n}{(q;q)_n} \sum_{k=0}^n {n \atop k} y^k P_k(x,1) P_{n-k}(y,1).$$

By comparing coefficients of t^n in the above equation, we get (3.5).

Setting $x \to 1/x$ and $y \to 1/y$ in (3.4) and (3.5), we get

$$P_{n}(1, xy) = \sum_{k=0}^{n} {n \choose k} y^{k} P_{k}(1, x) P_{n-k}(1, y).$$
$$= \sum_{k=0}^{n} {n \choose k} x^{n-k} P_{k}(1, x) P_{n-k}(1, y).$$
(3.6)

Theorem 3.2. The following statements are equivalent:

1.
$$P_n(x,y) = \sum_{k=0}^n {n \brack k} P_k(x,z) P_{n-k}(z,y).$$

2.
$$P_n(x,y) = \sum_{k=0}^n {n \brack k} (-1)^k q^{\binom{k}{2}} P_k(y,1) P_{n-k}(x,q^k).$$

3. $P_n(xy,1) = \sum_{k=0}^n {n \brack k} x^{n-k} P_k(x,1) P_{n-k}(y,1).$
4. $P_n(1,xy) = \sum_{k=0}^n {n \brack k} x^{n-k} P_k(1,x) P_{n-k}(1,y).$

Proof. $1 \Longrightarrow 2$

$$P_{n}(y,x) = \sum_{k=0}^{n} {n \brack k} P_{k}(y,1)P_{n-k}(1,x) \quad \text{(by using (1.6))}$$

$$= (-1)^{n}q^{-{n \choose 2}} \sum_{k=0}^{n} {n \brack k} (-1)^{k}q^{{k \choose 2}}P_{k}(y,1)P_{n-k}(q^{n-1}x,q^{k}) \quad \text{(by using (3.3))}$$

$$P_{n}(q^{n-1}x,y) = \sum_{k=0}^{n} {n \brack k} (-1)^{k}q^{{k \choose 2}}P_{k}(y,1)P_{n-k}(q^{n-1}x,q^{k}) \quad \text{(by using (1.10))}$$

$$P_{n}(x,y) = \sum_{k=0}^{n} {n \brack k} (-1)^{k}q^{{k \choose 2}}P_{k}(y,1)P_{n-k}(x,q^{k}). \quad \text{(by setting } x \to q^{1-n}x).$$

 $2 \Longrightarrow 3$ Let $x \to 1/x$ in (1.8), we get

$$\begin{aligned} \frac{P_n(1,xy)}{x^n} &= \sum_{k=0}^n {n \brack k} (-1)^k q^{\binom{k}{2}} P_k(y,1) \frac{P_{n-k}(1,q^k x)}{x^{n-k}}. \\ P_n(q^{n-1}xy,1) &= (-1)^n q^{\binom{n}{2}} \sum_{k=0}^n {n \brack k} (-1)^k q^{\binom{k}{2}} x^k P_k(y,1) P_{n-k}(1,q^k x) \quad \text{(by using (1.10))} \\ &= \sum_{k=0}^n {n \brack k} x^k P_k(y,1) q^{-k+nk} P_{n-k}(q^{n-1}x,1) \quad \text{(by using (3.2))} \\ P_n(xy,1) &= \sum_{k=0}^n {n \brack k} x^k P_k(y,1) P_{n-k}(x,1) \quad \text{(by setting } x \to q^{1-n}x) \\ P_n(xy,1) &= \sum_{k=0}^n {n \brack k} x^{n-k} P_k(x,1) P_{n-k}(y,1). \end{aligned}$$

 $3 \Longrightarrow 4$

Let $x \to 1/y$, $y \to 1/x$ in (3.4), we get

$$\frac{P_n(1,xy)}{x^n y^n} = \sum_{k=0}^n {n \brack k} \frac{1}{y^{n-k}} \frac{P_k(1,y)}{y^k} \frac{P_{n-k}(1,x)}{x^{n-k}}
P_n(1,xy) = \sum_{k=0}^n {n \brack k} x^k P_k(1,y) P_{n-k}(1,x)
= \sum_{k=0}^n {n \brack k} x^{n-k} P_k(1,x) P_{n-k}(1,y).$$

 $4 \Longrightarrow 1$ Let x = 1/y, y = x in (3.6), we get

$$\frac{P_n(y,x)}{y^n} = \sum_{k=0}^n {n \brack k} \frac{1}{y^{n-k}} \frac{P_k(y,1)}{y^k} P_{n-k}(1,x)$$

$$P_n(y,x) = \sum_{k=0}^n {n \brack k} P_k(y,1) P_{n-k}(1,x)$$

$$= \sum_{k=0}^n {n \brack k} P_k(y,1)(-1)^{n-k} q^{\binom{k}{2} - \binom{n}{2}} P_{n-k}(q^{n-1}x,q^k) \quad \text{(by using (3.3))}$$

$$P_n(y,q^{1-n}x) = (-1)^n q^{-\binom{n}{2}} \sum_{k=0}^n {n \brack k} (-1)^k q^{\binom{k}{2}} P_k(y,1) P_{n-k}(x,q^k) \quad \text{(by setting } x \to q^{1-n}x)$$

$$P_n(x,y) = \sum_{k=0}^n {n \brack k} (-1)^k q^{\binom{k}{2}} P_k(y,1) P_{n-k}(x,q^k). \quad \text{(by using (1.11))}$$

Theorem 3.2 shows the equivalence between Goldman-Rota q-binomial identity (1.6) and its inverse (1.8).

4. The Cauchy operator and the Cauchy polynomials

In this section, we use Cauchy operator to give an operator proof for the Goldman–Rota q-binomial identity (1.6) and the exchange property of the Cauchy polynomials (1.9).

The q-differential operator, or q-derivative, ${\cal D}_q$ is defined by:

$$D_q\{f(a)\} = \frac{f(a) - f(aq)}{a}.$$

In 2008, Chen and Gu [4] defined the Cauchy operator as follows:

$$\mathbb{T}(a,b;D_q) = \sum_{n=0}^{\infty} \frac{(a;q)_n}{(q;q)_n} (bD_q)^n.$$

The following lemma is easy to verify.

Lemma 4.1. We have

$$D_{q}^{k} \{x^{n}\} = \frac{(q;q)_{n}}{(q;q)_{n-k}} x^{n-k}.$$

$$D_{q}^{k} \{P_{n}(x,y)\} = \frac{(q;q)_{n}}{(q;q)_{n-k}} P_{n-k}(x,y).$$

$$\mathbb{T}(a,b,D_{q}) \{x^{n}\} = \sum_{k=0}^{n} {n \brack k} (a;q)_{k} b^{k} x^{n-k}.$$
(4.1)

$$\mathbb{T}(a,b,D_q) \{P_n(x,y)\} = \sum_{k=0}^n {n \brack k} (a;q)_k b^k P_{n-k}(x,y).$$
(4.2)

$$\begin{bmatrix} n \\ k \end{bmatrix} \begin{bmatrix} n-k \\ i \end{bmatrix} = \begin{bmatrix} n \\ i \end{bmatrix} \begin{bmatrix} n-i \\ k \end{bmatrix}.$$
(4.3)

Corollary 4.1.1. We have

$$\mathbb{T}(y/z, z, D_q) \left\{ x^n \right\} = z^n h_n(\frac{x}{z}, \frac{y}{z} | q).$$

$$(4.4)$$

Proof. by using (4.1), we get

$$\begin{aligned} \mathbb{T}(y/z, z, D_q) \left\{ x^n \right\} &= \sum_{k=0}^n {n \brack k} (y/z; q)_k z^k x^{n-k} \\ &= z^n \sum_{k=0}^n {n \brack k} (y/z; q)_k \left(\frac{x}{z}\right)^{n-k} \\ &= z^n h_n(\frac{x}{z}, \frac{y}{z} | q). \quad \text{(by using (1.14))} \end{aligned}$$

Now we are ready to give an operator proof for the Goldman–Rota qbinomial identity (1.6) and the exchange property of $P_n(x, y)$ (1.9).

Proof of (1.6). By using (4.2), we get

$$\mathbb{T}(y/z, z, D_q) \{ P_n(x, z) \} = \sum_{k=0}^n {n \brack k} (y/z; q)_k z^k P_{n-k}(x, z)$$

$$= \sum_{k=0}^n {n \brack k} P_k(z, y) P_{n-k}(x, z) \quad \text{(by using (1.3))}$$

$$= \sum_{k=0}^n {n \brack k} P_k(x, z) P_{n-k}(z, y).$$
(4.5)

$$\mathbb{T}(y/z, z, D_q) \{ P_n(x, z) \} = \sum_{k=0}^n {n \brack k} (-1)^k q^{\binom{k}{2}} z^k \mathbb{T}(y/z, z, D_q) \{ x^{n-k} \} \quad \text{(by using (1.7))}$$

$$= z^n \sum_{k=0}^n {n \brack k} (-1)^k q^{\binom{k}{2}} h_{n-k} (\frac{x}{z}, \frac{y}{z} | q) \quad \text{(by using (4.4))}$$

$$= z^n P_n (\frac{x}{z}, \frac{y}{z}) \quad \text{(by using (2.8))}$$

$$= P_n(x, y). \quad (4.6)$$

From (4.5) and (4.6), we get (1.6).

Proof of (1.9). By using (4.2), we get

$$\mathbb{T}(z/w, w, D_q) \{P_n(x, y)\} = \sum_{k=0}^n {n \brack k} (z/w; q)_k w^k P_{n-k}(x, y)$$

$$= \sum_{k=0}^n {n \brack k} P_k(w, z) P_{n-k}(x, y) \quad \text{(by using (1.3))}$$

$$= \sum_{k=0}^n {n \brack k} P_k(x, y) P_{n-k}(w, z). \quad (4.7)$$

$$\mathbb{T}(z/w, w, D_q) \{P_n(x, y)\} = \sum_{k=0}^n {n \brack k} (-1)^k q^{\binom{k}{2}} y^k \mathbb{T}(z/w, w, D_q) \{x^{n-k}\} \quad \text{(by using (1.7))} \\
= \sum_{k=0}^n {n \brack k} {n \brack k} (-1)^k q^{\binom{k}{2}} y^k w^{n-k} h_{n-k}(\frac{x}{w}, \frac{z}{w}|q) \quad \text{(by using (4.4))} \\
= \sum_{k=0}^n \sum_{i=0}^{n-k} {n \brack k} {n \brack k} {n-k \brack i} (-1)^k q^{\binom{k}{2}} y^k w^{n-i-k} P_i(x, z) \\
= \sum_{i=0}^n {n \brack i} P_i(x, z) \sum_{k=0}^{n-i} {n-i \brack k} (-1)^k q^{\binom{k}{2}} y^k w^{n-i-k} \quad \text{(by using (4.3))} \\
= \sum_{i=0}^n {n \brack i} P_i(x, z) P_{n-i}(w, y). \quad \text{(by using (1.7))} \quad (4.8)$$

From (4.7) and (4.8), we get (1.9).

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